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Reliability-Based Shear Rating of Prestressed Concrete Bridge Girders Considering Capacity Adjustment Factor

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25 **Introduction**

26 Bridge load rating is required by the US Department of Transportation (DOT) to assure that bridge
27 structures within each state inventory are sufficiently safe for vehicular traffic. Specific bridge
28 rating procedures are specified in the Manual for Bridge Evaluation (MBE) (AASHTO 2018),
29 where rating for design, legal, and permit loads is discussed. Generally, it is desired by the DOTs
30 to limit bridge posting as much as possible, as restrictions prevent the general public, as well as
31 commercial vehicles, from fully utilizing the transportation network. Typically, the design load
32 rating evaluates the ability of the bridge to carry the HL-93 design load specified in the American
33 Association of State Highway and Transportation Officials Load and Resistance Factor Design
34 Specifications (AASHTO LRFD 2017), and is used to complete the Federal inventory rating. The
35 design load is also used to evaluate the bridge at the Federal operating level, where capacity
36 associated with a lower level of reliability is assessed. Structures found to be inadequate for design-
37 based load rating must be further evaluated considering legal load rating, to determine if traffic
38 restriction is required. In the rating process, to ensure target levels of structural safety, it is
39 necessary to use accurate procedures for evaluating bridge capacity. Although various failure
40 modes may be of interest, flexural and shear strength-based limit states are often of greatest
41 concern for rating typical highway bridge girders. The specific concern of this study is girder
42 shear capacity. While the analysis procedures given in AASHTO LRFD may be expected to
43 generally well-predict flexural capacity, it has been shown that significant inaccuracies exist using
44 current methods to model shear behavior (Llanos et al. 2009; Ross et al. 2011; Wilder et al. 2015,
45 Chehab and Eamon 2018, Chehab et al. 2018). Although generally conservative in most cases,
46 inaccuracies in code-based analysis methods create the undesirable situation where shear capacity
47 is significantly under-reported, potentially resulting in unnecessary traffic restrictions. A more

48 troublesome result is that, in a smaller number of cases, bridges may be over-rated in capacity
49 (Chehab and Eamon 2018). The current study addresses this concern and examines the effect of
50 using a simple shear capacity adjustment factor on the structural reliability of prestressed concrete
51 (PC) bridge girders. The purpose of the adjustment factor is to enhance the accuracy of shear
52 assessment with minimal additional effort, potentially enabling bridge girders to meet target
53 reliability levels in the rating process.

54 Since 2003, with the publication of the Manual for Condition Evaluation and Load and
55 Resistance Factor Rating (LRFR) of Highway Bridges, rating bridge girders for vehicular traffic
56 has been implicitly based on an assessment of structural reliability. The Manual for Bridge
57 Evaluation (MBE) was later published by AASHTO in 2008 (AASHTO 2008), replacing the initial
58 LRFR specifications as well as the alternative 1998 Manual for Condition Evaluation of Bridges
59 (based on Load Factor Rating, which was not reliability-based). The live load factors specified in
60 the MBE were later revised in 2011 (Sivakumar and Ghosn 2011) using weigh-in-motion (WIM)
61 data from truck traffic collected from six states. Based on a 5-year return period for load rating,
62 the recalibrated MBE rating process was formulated to result in an average target reliability index
63 of 2.5, with a minimum level of 1.5 for any particular structure. For bridge girders rated according
64 to the MBE, shear capacity is assumed to be calculated based on procedures specified in AASHTO
65 LRFD, although more refined procedures are allowed (AASHTO 2017).

66 Prior to the release of the 1st Edition of AASHTO LRFD in 1994 (AASHTO 1994), bridge
67 girders in the United States were primarily designed to at least meet the minimum standards given
68 by the AASHTO Standard Specifications for Highway Bridges, which was last published in 2002
69 (AASHTO 2002). The shear design provisions in the AASHTO Standard Specifications were very
70 similar to those currently presented by the American Concrete Institute Building Code

71 Requirements for Structural Concrete (ACI 318, 2014), where the concrete contribution to shear
72 strength is taken as an empirical function of the square root of concrete compressive strength.
73 However, a major change in shear design provisions was presented in AASHTO LRFD, where
74 shear capacity is based on the Modified Compression Field Theory (MCFT) (Vecchio and Collins,
75 1986), resulting in a significantly more complex, and often more accurate method than that used
76 in the Standard Specifications. The theoretically-developed MCFT provided significant changes
77 in calculation of the concrete shear strength contribution, diagonal crack angle, and maximum
78 allowable shear stress.

79 Since the 1994 AASHTO LRFD code was released, revisions to the shear design procedure
80 were published in subsequent editions of the specifications. These revisions were primarily made
81 to simplify the procedure, although the resulting nominal shear capacity was not substantially
82 changed. During this time, additional research has been conducted on the shear behavior of PC
83 beams. In some research, good agreement was found between the code model and experimental
84 results. However, as noted above, in other cases, significant discrepancies have been demonstrated
85 (Hawkins and Kuchma, 2007; Hawkins et al. 2005; Laskar et al. 2010; Pei et al. 2008; Chehab et
86 al. 2018). These discrepancies motivated the development of the shear capacity adjustment factor
87 suggested by Chehab and Eamon (2018) which is considered in this study.

88 **AASHTO LRFD Shear Design Procedure**

89
90 Two procedures are given in AASHTO LRFD (AASHTO 2017) to determine nominal shear
91 resistance; the General Procedure and the Simplified Procedure. The MCFT-based General
92 Procedure, generally thought to be most accurate, is the concern of this study. In this method, the
93 nominal shear resistance, V_n , of PC girders is taken as the lesser of Equations (1) or (2):

$$94 \quad V_n = V_c + V_s + V_p = 0.083\beta\sqrt{f'_c}b_v d_v + \frac{A_v f_y d_v (\cot \theta)}{s} + V_p \quad (1)$$

$$95 \quad V_n = 0.25f'_c b_v d_v + V_p \quad (2)$$

96 where V_c is the shear capacity attributed to the concrete; V_s is the shear capacity attributed to the
97 web reinforcement; V_p is the vertical component of the prestressing force; β is a factor indicating
98 the ability of diagonally cracked concrete to transmit tension and shear; f'_c is the compressive
99 strength of concrete; b_v is the web width; d_v is the effective shear depth, taken as the distance
100 between the resultants of the tensile and compressive forces due to flexure; A_v is the area of the
101 transverse reinforcement (stirrups) at a spacing s ; f_y is the yield stress of the transverse steel; and
102 θ is the principle compression angle. Note that Eq. 2 represents the upper limit of V_n , which is
103 intended to prevent a web crushing failure prior to yielding of the transverse reinforcement.
104 Typically, this limit does not govern the design shear capacity (Eamon et al., 2014).

105 For sections that contain at least the minimum specified amount of transverse reinforcement

106 ($A_{v,\min} = 0.083 \sqrt{f'_c} \frac{b_v s}{f_y}$), β is taken as:

$$107 \quad \beta = \frac{4.8}{(1 + 750\varepsilon_s)} \quad (3)$$

108 where ε_s is the net longitudinal tensile strain in the section at the centroid of the tension
109 reinforcement, given by:

$$110 \quad \varepsilon_s = \frac{\left(\frac{|M_u|}{d_v} + 0.5N_u + |V_u - V_p| - A_{ps}f_{po}\right)}{(E_s A_s + E_p A_{ps})} \quad (4)$$

111 In this expression, M_u is the factored moment; N_u is the factored axial force; V_u is the
 112 factored shear load; f_{po} is a parameter usually taken as $0.7f_{pu}$; E_s and A_s are the Young's
 113 Modulus and area of the nonprestressed steel on the flexural tension side; and E_p and A_{ps} are the
 114 Young's Modulus and area of the prestressed steel on the flexural tension side, respectively. When
 115 the calculated ε_s is negative, it may be recomputed by replacing the dominator of Eq. 4 by
 116 $(E_s A_s + E_p A_{ps} + E_c A_c)$, where E_c and A_c are the elasticity modulus and cross sectional girder
 117 area of concrete on the flexural tension side, respectively.

118 For sections that do not contain the minimum amount of shear reinforcement, β becomes:

$$119 \quad \beta = \frac{4.8}{(1 + 750\varepsilon_s)} \frac{51}{(39 + s_{xe})} \quad (5)$$

120 The crack spacing parameter s_{xe} is calculated as:

$$121 \quad s_{xe} = s_x \frac{1.38}{a_g + 0.63} \quad (6)$$

122 where s_x is the lesser of d_v or the maximum distance between layers of longitudinal crack control
 123 reinforcement, and a_g is the maximum aggregate size.

124 The value of θ , regardless of the amount of transverse reinforcement, is given by:

$$125 \quad \theta = 29 + 3500\varepsilon_s \quad (7)$$

126 **Shear Adjustment Factor**

127 The shear adjustment factor considered in this study is given by Chehab and Eamon (2018), and
 128 is taken as:

$$129 \quad r_{LRFD} = 0.0061f'_c + 0.028\sigma + 0.0012s\left(\frac{142}{A_v}\right) + 0.00036h - 0.15 \quad (8)$$

130 where f'_c = concrete compressive strength (MPa); σ = average stress in the section due to prestress
131 force (MPa); s = stirrup spacing (mm); and h = girder height (mm). Using this procedure, shear
132 capacity is evaluated by multiplying the nominal shear capacity found from the LRFD General
133 Procedure (i.e. the result of Eq. 1) by the result of Eq. 8, where r_{LRFD} is not to exceed the range:
134 $0.88 \leq r_{LRFD} \leq 2.62$. To further enhance accuracy, Chehab et al. (2018) recommended to modify
135 the computation of V_n in Eq. 1 by iterating until V_n equals V_u (from Eq. 4), by changing the value
136 of V_u until balance occurs. As discussed in Chehab and Eamon (2018), Eq. 8 was developed from
137 a selection of experimental results and a database of validated finite element analyses. Its
138 applicability was to be limited to Type II, III, and IV AASHTO PC girders with f'_c from 38-55
139 MPa, σ from 3.4-17 MPa, and s from 75-610 mm, which represents the range of parameters for
140 which it was developed. Practically, Eq. 8 represents an estimation of the ratio of the "exact" shear
141 capacity to the nominal capacity found from the LRFD method.

142 Use of the above procedure was found to significantly improve accuracy as well as decrease
143 variability, while producing no unconservative estimations of shear capacity. A selection of the
144 results reported by Chehab and Eamon (2018) is shown in Fig. 1, where the ratio of an "exact"
145 assessment of shear capacity to the LRFD assessment of nominal shear capacity "Exact/LRFD" as
146 well as the ratio of exact shear capacity to that assessed by the use of Eq. 8 "Exact/(LRFD $\times r_{LRFD}$
147)" is shown for approximately 200 typical PC bridge girders. Here, the "exact" assessment is taken
148 as the shear capacity determined from an experimentally-validated finite element model (Chehab
149 et al., 2017; Chehab and Eamon 2018; Chehab et al., 2018). As shown in Fig. 1, the majority of
150 the unmodified LRFD results are substantially inconsistent, where the Exact/LRFD ratio ranged
151 from 0.88 to 2.62, with an overall mean ratio of 1.67 and coefficient of variation (V) of 0.25.
152 Particularly troublesome are the several cases with Exact/LRFD ratios below 1.0, indicating

153 unconservative estimates of shear capacity. Use of Eq. 8 still results in substantial conservatism
154 overall, where the mean ratio of $\text{Exact}/(\text{LRFD} \times r_{\text{LRFD}})$ is 1.38. However, the upper range of ratios
155 is substantially decreased from 2.62 to 1.72, with no cases where capacity is over-predicted.
156 Correspondingly, the consistency in shear capacity estimation is likewise greatly improved, with
157 V reduced by approximately 50%, to 0.12. Note that the use of a refined finite element analysis
158 procedure (i.e. the "exact" approach) to evaluate shear capacity would reduce discrepancies even
159 further to near zero, but such an approach is not practical for routine bridge rating due to the
160 modeling skill and computational effort involved.

161 The normalized sensitivity of Eq. 8 to its input parameters is shown in Fig. 2. As indicated in
162 the figure, average prestress force has most influence on results, followed by transverse steel
163 spacing, whereas concrete strength and especially girder height have least influence. Due to the
164 general conservatism of the LRFD method, as shown in the Figure, all parameters positively
165 influence Eq. 8, and hence increase the $(\text{Exact}/\text{LRFD}) V_n$ ratio. As noted above, in some cases,
166 the LRFD approach was found to be unconservative, and in such cases it is possible for Eq. 8 to
167 produce a value less than 1.0 (due to the presence of the negative constant 0.15 in the expression).
168 Note that these results indicate the sensitivity of the V_n ratio $(\text{Exact}/\text{LRFD})$ to these parameters,
169 not the direct sensitivity of V_n .

170 **Bridge Girders Considered for Rating Evaluation**

171 To examine the effect of using a more accurate shear capacity assessment method in rating such
172 as that given by Eq. 8, consideration should be given to location, where legal loads as well as rating
173 procedures vary from state to state. In this study, the State of Michigan is considered as an example,
174 which has relatively high legal loads (Eamon et al., 2016), and where use of the (generally)
175 significantly conservative existing procedure to evaluate shear capacity may be particularly

176 detrimental. Once the location of consideration was selected, 20 hypothetical prestressed concrete
177 AASHTO bridge girders (including Types II, III and IV) of four span lengths (15.2, 24.4, 30.5 and
178 61 m), and five girder spacings (1.2, 1.8, 2.4, 3.1 and 3.7 m), were considered for rating in
179 accordance to the current procedure specified in the MBE (AASHTO 2018).

180 According to the MBE, the rating factor for legal loads is determined by:

$$181 \quad RF = \frac{\phi R_n - 1.25DC - 1.5DW}{\gamma_{LL}(LL + IM)} \quad (9)$$

182 In the expression above, R_n is the nominal resistance of the component; DW and DC are the dead
183 loads of the wearing surface and the remaining structural components, respectively; IM is the
184 vehicular dynamic load allowance, specified as 33% of the vehicular static live load; and the
185 resistance factor (ϕ) is given as 0.9 for a shear limit state for PC beams.

186 The remaining parameters, LL and γ_{LL} , represent the maximum shear load effect generated
187 from a legal vehicle configuration and the associated live load factor used for rating, respectively.
188 Here, the total legal vehicle shear load on the bridge is proportioned to an individual girder by a
189 distribution factor, as a function of bridge geometry, as specified in AASHTO LRFD (AASHTO
190 2017). For calculation of dead loads as well as the live load distribution factor, it is assumed that
191 bridges have a width of 14.6 m (two traffic lanes with shoulders) with a 230 mm thick concrete
192 deck ($f'_{cs} = 28$ MPa), and 65 mm wearing surface. A summary of design parameters used, which
193 are typical for many existing AASHTO-type PC bridge girders designed in Michigan as well as
194 other states, is given in the last column of Table 1. Note that many of these values vary, depending
195 on the specific bridge geometry considered.

196 The Michigan Department of Transportation (MDOT) procedure for load rating is more
197 complex than that of many other states, where 28 different legal truck configurations are
198 considered, each with different load factors. For the bridge spans considered in this study, two of

199 these configurations govern, depending on span, and are shown in Fig. 3. Generally, rating factors
200 resulting from Eq. 9 that are greater than 1.0 are acceptable while those less than 1.0 require traffic
201 restriction across the structure.

202 **Limit State Function**

203 The limit state function considered for shear capacity evaluation can be simply written as:

$$204 \quad g = R(R_i) - Q(Q_i) \quad (10)$$

205 where R is girder shear resistance, as a function of resistance random variables R_i , and Q is the
206 maximum shear load that the girder experiences, as the sum of the dead and live load shear effects,
207 as a function of load random variables Q_i . These models and the corresponding random variables
208 are described below.

209 **Initial Resistance Model**

210
211 To provide an accurate assessment of the reliability level inherent in a given standard, it is
212 important that the reliability of a component is evaluated for the minimum requirements set by that
213 standard. In the general LRFD approach, this condition is expressed as: $\phi R_n = \sum \gamma_i Q_i$, (where γ_i
214 are load factors and Q_i are load effects) which is the code-specified limit between acceptability
215 and non-acceptability. In the case of rating, acceptability is expressed in terms of the rating factor,
216 for which the limit is taken as 1.0. Setting Eq. 9 equal to 1.0 and solving for the required R_n results
217 in:

$$218 \quad R_n = (1/\phi)(1.25DC + 1.5DW + \gamma_{LL}(LL + IM)) \quad (11)$$

219 which, in this study, becomes the nominal shear resistance for consideration in reliability rating.
220 Here it should be noted that R_n from Eq. 11 represents a notional, or theoretical resistance, used
221 for evaluation of the reliability level associated with the rating process, and does not necessarily
222 represent the resistance of an actual girder. This is analogous to the evaluation of components

223 with resistance set just equal to the design limit for reliability assessment of design code
224 specifications, whereas overdesign of an actual girder, particularly for shear, is rarely unavoidable.

225 Statistical parameters of shear resistance uncertainties for typical PC bridge girders are
226 available, and have been conveniently expressed as a single random variable, R (Nowak, 1999).
227 However, the development of these statistics have assumed that the existing code procedure is
228 sufficiently accurate to model capacity. In this study, however, it is suggested that simplified code
229 methods are not necessarily adequate for accurate assessment of rating reliability, and hence the
230 use of Eq. 8. This requires that the statistical parameters of girder shear resistance are recalculated.
231 Since Eq. 8 is a function of girder properties, the uncertainties associated with specific girder
232 designs must be established in order for Eq. 8 to be evaluated. To facilitate this, the set of girders
233 described above, corresponding to 20 hypothetical bridges with spans from 15.2-61 m and girder
234 spacing 1.2-3.7 m, are designed according to MDOT standards. These standards are identical to
235 AASHTO LRFD specifications, with the exception of a higher live load than the HL-93 design
236 load specified in the AASHTO code. For shear design, this is equivalent to satisfying Eq. 11, but
237 in this case, LL is determined not from legal loads but from the MDOT-specified HL-93-mod
238 design load (taken from 1.2 - 2.7 times higher than AASHTO's HL-93 load, depending on the
239 spans considered here), and the live load factor (γ_{LL}) is specified as 1.75.

240 Resistance random variables used for reliability analysis are given in Table 1, where statistical
241 parameters, in terms of coefficient of variation (V) and bias factor (λ , the ratio of mean to nominal
242 value) are taken as those used to calibrate the AASHTO LRFD Specifications for consistency with
243 previously established reliability levels (Ellingwood et al. 1980, Siriaksorn 1980; Nowak 1999;
244 Nowak and Szerszen 2003; Yamani 1992).

245 For comparison purposes, it is useful to first evaluate reliability based on resistance
246 established with the current, or initial LRFD model, without application of Eq. 8. In this initial
247 resistance model, Eq. 11 is first used to determine the required nominal shear capacity for a girder,
248 R_n , for a given bridge span and girder spacing. A hypothetical girder design is then developed to
249 exactly match this required value using the exiting LRFD shear procedure (Eqs. 1-7). Resistance
250 (R) in Eq. 10 thus becomes a function of Eqs. 1-7, above, with all equation parameters no longer
251 deterministic but replaced with the appropriate random variables shown in Table 1; either directly,
252 such as random variables R_1, R_2, R_4, R_5 , etc., which have a corresponding parameter in Eqs. 1-7,
253 or replaced with functions of these random variables such as the parameter d_v , which can be
254 expressed as an algebraic function of random variables $R_3 (d_e), R_7 (f_{pu}), R_9 (A_{ps}), R_{10} (b_e)$, and
255 $R_{13} (f'_{cs})$ applicable for the design cases considered. Exceptions are parameters E_s and A_s , which
256 appear in Eq. 4, parameters for longitudinal non-prestressed (mild) steel, which is not used in the
257 prestressed girder designs considered, and parameter a_g , in Eq. 6, which is taken as a deterministic
258 value.

259 **Updated Resistance Model**

260 The updated resistance model considers the effect of Eq. 8 in the shear resistance evaluation. This
261 model is identical to the initial model described above, except now the resistance function is
262 multiplied by the result of Eq. 8. Note that the girder design itself is unchanged, only the evaluation
263 of its capacity R for reliability analysis within Eq. 11. When reliability is evaluated considering
264 Eq. 8, its result is no longer a deterministic value, but its input parameters (f'_c, σ, s, A_v , and h) also
265 become functions of the random variables given in Table 1. Of these, R_1, R_4, R_6 , and R_{11} directly

268 replace parameters f'_c , A_v , s , and h , respectively, while σ is expressed as a function of random
269 variables $R_2 (b_v)$, $R_7 (f_{pu})$, $R_9 (A_{ps})$, and $R_{11} (h)$.

270 **Simplified Resistance Model**

271
272 This model is used only as part of the model verification process, as discussed further below. In
273 this case, rather than forming a resistance function with Eqs. 1-7 and using the fundamental random
274 variables given in Table 1, girder shear resistance is taken as a single random variable R , using the
275 final shear resistance parameters reported for the LRFD calibration (where R is lognormal with λ_R
276 = 1.15 and $V_R = 0.14$). This model represents the approach used in the LRFD and MBE calibrations
277 to compute reliability indices. As with the other resistance models above, the nominal value for R
278 is determined from Eq. 11.

279 **Professional Factor**

280
281 For this study, one resistance random variable that requires further consideration is the professional
282 factor (P), which is used to account for uncertainty in the analysis model used to establish member
283 strength. In the initial AASHTO LRFD calibration, P_{LRFD} was taken to have a bias factor of
284 $\lambda=1.075$ with $V=0.10$ (Nowak 1999). P_{LRFD} is used in this study when the Initial Resistance Model
285 is considered. Although the origin of these values are not clearly documented, these statistics
286 appear to greatly underestimate the actual level of uncertainty and conservatism in the LRFD shear
287 capacity model. For example, if the results of Chehab and Eamon (2018) are considered, the
288 resulting statistics for P for the LRFD model are $\lambda=1.67$ and $V=0.25$, whereas the adjustment of
289 Eq. 8 produces an estimated P factor of $\lambda=1.38$ and $V=0.12$. As expected, Eq. 8 results in less
290 conservatism as well as less variation than the unmodified LRFD procedure.

291 In general, for reliability analysis using Eq. 8, when the Updated Resistance Model is
292 considered, it is desirable to use the most accurate statistics for P that are available. However,

293 because the target reliability levels within the MBE were set with what appears to be non-
294 representative P factor statistics, using the correct bias factor for Eq. 8 would produce nonsensical
295 results in the context of the MBE. This difficulty can be illustrated as follows. As discussed above,
296 Eq. 8 has shown to produce both lower conservatism as well as lower variation from the existing
297 model; i.e. λ as well as V have decreased, as would be expected from any model improvement.
298 However, the values of $\lambda=1.075$, $V=0.10$ were used for the existing model in the LRFD and MBE
299 calibrations to set the target reliability indices rather than the reportedly more accurate values of
300 $\lambda=1.67$ and $V=0.25$. Because of this, if the correct P factor associated with Eq. 8 is used for
301 reliability assessment, an improvement in model accuracy would not be indicated, but rather
302 accuracy will have nominally worsened, as both P factor values λ and V associated with Eq. 8 (1.38
303 and 0.12, respectively) are greater than the values used for the existing model used in the MBE
304 calibration (1.075 and 0.10, respectively).

305 Ideally, the MBE shear results would be recalculated, and new shear reliability targets set with
306 the existing LRFD model while using the more accurate P factor values of 1.67, 0.25. This would
307 allow direct use of the correct P factor values associated with the updated shear model in reference
308 to the existing MBE reliability targets. However, recalibration of the MBE is not a practical
309 solution, at least in the context of this study. As an alternative, rather than recalibrating the MBE,
310 the P factor for Eq. 8 can be calibrated to fit within the context of the MBE. The calibrated P
311 factor should represent an equivalent degree of model improvement from the LRFD model, but
312 yet remain compatible with original MBE assumptions.

313 Although alternate ways of calibrating the P factor may be possible, the method suggested
314 here is to scale the correct P factor to the assumed baseline of the MBE while maintaining the
315 correct proportional differences in the models. The calibrated coefficient of variation for P is then

316 simply: $V_{EQ8} = V_{LRFD} \cdot (V_{cEQ8}/V_{cLRFD})$, where V_{LRFD} is the value originally used in the MBE for the
317 existing LRFD model (i.e. $V_{LRFD} = 0.10$), and V_{cEQ8} , V_{cLRFD} are the ‘correct’ values for the updated
318 shear model and LRFD models, respectively ($V_{cEQ8} = 0.12$ and $V_{cLRFD} = 0.25$). Using this
319 expression produces a calibrated coefficient of variation (V_{EQ8}) of 0.048.

320 A similar process can be used to calibrate bias factor, but the calibration scale must be adjusted
321 to a baseline of 1.0 (which indicates no bias) rather than 0 as used for V (which indicates no
322 variation), resulting in: $\lambda_{EQ8} = 1.0 + (\lambda_{LRFD} - 1.0) \cdot (\lambda_{cEQ8}/\lambda_{cLRFD})$. This expression produces a
323 calibrated bias factor of $\lambda_{EQ8} = 1.062$. Thus, the resulting scaled P factor (P_{EQ8}) values of $\lambda=1.062$
324 and $V=0.048$ are used when Eq. 8 is considered to compute shear resistance; i.e. when the Updated
325 Resistance Model is considered.

326 It must be emphasized that an adjustment of this nature is essential, as if it was not done and
327 the uncalibrated λ_{cEQ8} and λ_{cLRFD} bias factors were used, mean capacity and hence reliability index
328 of the Updated and Initial Models would be significantly over-predicted relative to the MBE
329 reference reliability levels. In the reliability analysis for both models, P is introduced as an
330 additional random variable multiplied with the resistance function (i.e. as P_{LRFD} for the Initial
331 Model and P_{EQ8} for the Updated Model). Note for the Simplified Resistance Model, the P factor
332 given for the Initial Resistance Model (P_{LRFD}) was already included in the final resistance statistics
333 reported in Table 1 for R (Nowak 1999).

334 335 **Load Models**

336
337 The dead load model is composed of random variables describing variation in the weight of
338 prefabricated components (Q_p) such as the girders; site-cast components (Q_s) such as the deck
339 and barriers, and the wearing surface (Q_w). Statistical parameters are taken as those used to
340 calibrate the AASHTO MBE and LRFD (Nowak, 1999), and are given in Table 2. Dead load RVs

341 are normally distributed. The live load model is taken from Eamon et al. (2016), and was developed
342 from 66 million truck records collected over two years of weigh-in-motion data representing legal
343 and permit vehicles across twenty sites on Michigan roadways. Actual vehicle load effects were
344 calculated by incrementing the recorded vehicle configurations and spatial relationships across a
345 beam model of the considered span length. It was found that the extreme (high) values of load
346 effect well-fit a normal distribution. Correspondingly, load effects were then statistically projected
347 with extreme value theory to determine maximum expected load statistics for a 5-year return
348 period, similar to the process used to set legal and routine permit reliability levels in the MBE.
349 Live load uncertainties include those from the data projection (V_{proj}), geographic location (V_{site}),
350 the data collection at a particular site (V_{data}), and vehicle dynamic load (V_{IM}). Values were taken
351 as 0.02 for V_{data} , and 0.09 and 0.055 for V_{IM} for single lane and two-lane load effects, respectively
352 (Eamon et al. 2016). Values for the mean maximum shear effect, V_{proj} , V_{site} , and the final
353 resulting coefficient of variation of shear effect, $V_{L_{max}}$, are span-dependent and given in Table 3.

354 Live load effects are distributed to the girder using AASHTO LRFD distribution factors,
355 which were similarly used in the AASHTO LRFD and MBE reliability calibration efforts. For the
356 spans considered in this study, the one-lane shear live load effect governs for the Michigan-specific
357 data, as discussed below (Eamon et al. 2016). The corresponding live load distribution factor for
358 shear is taken as: $0.36 + 0.131S$, where S (m) is girder spacing (AASHTO 2017). Note that an
359 additional source of uncertainty that may be considered is that due to vehicle live load distribution
360 to the girders, which generally results in a net increase in calculated girder reliability due to the
361 conservativeness of the AASHTO LRFD load distribution expressions. However, a concern with
362 this adjustment is that it is primarily due to the edge the stiffening effect of barriers (Eamon and

363 Nowak 2002; 2005), which, although are effective in reducing girder live load under service loads,
364 are not designed nor intended to act as primary structural elements and may not be reliable in
365 aiding load distribution during an overload. Therefore, this adjustment may not be desirable in
366 rating and was not included in this study. Rather, load distribution was conservatively taken as
367 deterministic, as it was for the AASHTO LRFD calibration (Nowak 1999).

368 **Verification of Reliability Model**

369 Prior to evaluating the effects of the Updated Resistance Model, the girder reliability indices
370 computed from this study using the Initial Resistance Model should be similar to those that were
371 found during the initial calibration of the AASHTO Specifications. A verification of the model
372 used here is necessary because the basic shear resistance random variables that were used to
373 calibrate the AASHTO specifications, as well as the exact version of the method used to evaluate
374 shear capacity, were not clearly documented (Nowak, 1999; Kulicki et al., 2007). It is important
375 that the Initial Resistance Model considered in this study produces similar results as that used to
376 develop the MBE, if the target reliability indices specified for the MBE are to have meaningful
377 comparison value to the results of the Updated Resistance Model. Here note that AASHTO LRFD
378 calibration information is referenced, in which girder shear resistance statistics were developed
379 and later used for the MBE calibration as well.

380 For the validation, using the load models considered in the AASHTO LRFD code calibration,
381 (the same dead load model discussed above, but the live load model is not Michigan-specific)
382 (Nowak 1999), reliability index was computed using the First Order Reliability Method (FORM)
383 (Rackwitz and Fiessler 1978) for a selection of typical PC girders, where girder shear resistance is
384 computed with the Initial Resistance Model. The results of this calculation are given in Fig. 4, and
385 are compared to the values reported for the AASHTO LRFD Calibration (“LRFD”). As shown in

386 the figure, results are very similar, and nearly exact in most cases. As a second means of
387 verification, the Simplified Resistance Model was also considered. In this case, it was found that
388 reliability results were also very close to the LRFD calibration results, as shown in Fig. 4.
389 Therefore, the resistance model used in this study was taken as validated. Note that the target
390 reliability indices shown in Fig. 4, specified for design, are substantially higher (from 3.5 to 4)
391 than those which are specified for rating (from about 1.5 to 2.5; see Results discussion next).

392 **Results**

393
394 The reliability index for Eq. 10 is computed using FORM, and results are given in Figs. 5-7. In
395 the figures, reliability indices are given in order for 4 span lengths with 5 girder spacings each
396 (from 1.2 to 3.7 m), for different methods of computing girder resistance. For various cases,
397 FORM results were verified with Monte Carlo Simulation using 1×10^6 simulations, and were
398 found to be nearly identical (differences in reliability index less than 1-2%).

399 Fig. 5 presents results using the Simplified Resistance Model (i.e. that used in the MBE and
400 LRFD calibrations), where R is taken as a single random variable with constant statistical
401 parameters ($\lambda_R = 1.15$ and $V_R = 0.14$) for all girders. As shown, the values in Fig. 5 are substantially
402 lower than those given in Fig. 4, where the only difference between the results shown in Figs. 4
403 and 5 is the live load model used. This difference is expected, as the Michigan live load data have
404 larger load effects than those used for the LRFD code calibration (Eamon et al. 2016). Although
405 not as severe as those in Michigan, the load effects of some states considered in the MBE
406 calibration were found to have relatively high load effects as well, resulting in a target reliability
407 index for rating of 2.5, with a minimum index allowed for any girder of 1.5 (Sivakumar and Ghosn
408 2011) (compared to a target as well as minimum allowed reliability index for design with
409 AASTHO LRFD of 3.5). Also note that the values given in Fig. 5 have a larger variation than

410 those given in Fig. 4. This is also due to the different live load model used. In the LRFD calibration
411 live load model, two-lane load effects always governed; thus, the distribution factor used to
412 distribute live load shear to a girder for design is the same factor as that used in the reliability
413 analysis (Nowak 1999). However, for the Michigan traffic data, it was found that multiple very
414 heavy vehicles in a single lane dominated the shear load effect (Eamon et al. 2016). In this case,
415 although a two-lane distribution factor still must be used for design for a two-lane bridge (as well
416 as for rating, for legal and routine permit loads), a single lane distribution factor is used in the
417 reliability analysis to proportion the dominant single lane load effect to the girder. For the spans
418 considered, it can be shown that the ratio of the single lane to the two-lane distribution factor
419 increases as girder spacing decreases. Thus, the proportion of actual shear load (based on the 1-
420 lane factor) to the shear load used for rating (based on the two-lane factor) similarly increases
421 (Eamon et al. 2016). This causes a drop in reliability level for more closely-spaced girders. As
422 shown in the figure, reliability indices ranged from 1.37 to 2.36, with a mean value of 1.87. As the
423 target reliability index of MBE-rated girders is a minimum of 1.5 in any case and 2.5 overall, two
424 considered girders currently do not meet the individual minimum, and the group overall does not
425 meet the average required.

426 Fig. 6 results are computed based on the Initial Resistance Model, where resistance is
427 evaluated as a function of the basic random variables given in Table 1 and Eqs. 1-7, rather than
428 the single resistance random variable used in the original LRFD calibration (the Simplified
429 Model). The average reliability results of Figs. 5 and 6 are similar, as expected, since the resistance
430 models are the same as those used to compute the design reliability indices shown in Fig. 4, which
431 show very close results for these two resistance models when the nominal girder resistances used
432 for the LRFD calibration are considered. However, although the average reliability shown in Fig.

433 6 for the Initial Model (1.93) is very close to that using the Simplified Model shown in Fig. 5
434 (1.87), there is substantially more variation of reliability shown in Fig. 6, where reliability indices
435 range from 1.1 to 2.69. This is because the constant, single variable (R), resistance statistics used
436 for all girders in the MBE and LRFD calibrations as per the Simplified Model, which represents a
437 typical value, actually varies somewhat depending on the specific girder geometry considered.
438 This variation becomes apparent when a different set of girders (i.e. those appropriate for MI traffic
439 loads) are considered rather than the set corresponding to the hypothetical girder resistance values
440 used in the LRFD calibration.

441 Here again, the average reliability index of 1.93 is shown to be below that required (2.5), and
442 4 girders have reliability below the minimum of 1.5 required. This represents a significant
443 problem, as these reliability indices are based on non-deteriorated resistance (see Eq. 11); i.e. some
444 existing structures, as-designed, will not meet rating reliability requirements. This finding would
445 require recalibration of Michigan's live load model used for rating, resulting in an increase of the
446 required live load factors used for rating and a decrease in the rating factor for bridges with PC
447 girders. Practically, this may result in additional traffic restrictions on numerous structures.

448 Fig. 7 provides girder reliability indices when the adjustment suggested by Eq. 8 is used to
449 evaluate shear capacity and thus the Updated Model is evaluated. To illustrate the effect of Eq 8.
450 on results, three different girder designs were developed for each bridge case. The middle line
451 represents typical girder designs used in Michigan, those commonly considered for the span and
452 girder spacing considered. The upper and lower lines represent designs using different concrete
453 strengths and corresponding stirrup spacings, but such that the nominal shear capacity is
454 unchanged (f'_c and stirrup spacing vary, but are within the range shown in Table 1, across the spans
455 and girder spacings considered, where higher f'_c and wider stirrup spacing (s) result in the higher

456 reliability cases when Eq. 8 is applied; see Fig. 2). Comparing Fig. 6 and Fig. 7 results, it can be
457 seen that only minor differences occur for typical designs within the smallest span (15.2 m) when
458 Eq. 8 is applied, whereas much larger differences in reliability assessment occur for the longer
459 spans. This is because the existing LRFD procedure was found to be relatively accurate for the
460 lower prestress levels needed for the shorter spans; as the spans increase, the section prestress level
461 increases, causing a greater discrepancy between the capacity provided by the LRFD method and
462 the adjustment from Eq. 8 (see Fig. 2). Using Eq. 8 to evaluate capacity results in reliability indices
463 for typical designs ranging from 1.81 to 4.81, with an average of 3.77. Thus, in the case of
464 Michigan bridge girders, this more accurate shear assessment allows all typical girder designs to
465 meet minimum required reliability targets, avoiding unnecessary traffic restrictions as well as over-
466 rating shear resistance in some cases.

467 Note that the reliability targets in the MBE were set considering girders in an undeteriorated
468 state, as are the reliability results shown in Figs. 5-7. However, clearly, as girders deteriorate,
469 shear capacity, and hence reliability, may drop. If the reliability index of a girder drops below the
470 minimum acceptable level, either the girder must be repaired, or traffic must be restricted to restore
471 reliability to that required target level. In the rating process, the rating factor is used as a surrogate
472 metric for assessment of adequate reliability. Note that Eq. 8 does not have specific input
473 parameters for material deterioration or other types of environmental damage. However, such
474 damage can be accounted for in the rating procedure using Eq. 8 in the same way that existing
475 code procedures can be used to do so. That is, V_n using the adjustment of Eq. 8 can be calculated
476 with reduced f'_c , web thickness, area of steel, prestress force, etc., as appropriate, based on semi-
477 annual inspection and evaluations of the structure, to account for concrete deterioration and steel
478 corrosion. Thus, whether undamaged or deteriorated girders are considered, a more accurate

479 assessment of actual shear capacity and rating factor can be obtained, and hence a more accurate
480 assessment of shear reliability relative to the target levels. To show the practical effect of the use
481 of Eq. 8 in the reliability-based rating of existing structures, Fig. 8 provides the fractional reduction
482 in nominal shear capacity (V_n) allowed that would provide a reliability index equal to 2.5. In
483 particular, if V_n is reduced to the fraction shown in Fig 8 due to, say, a loss of transverse steel area
484 from corrosion or concrete web area from spalling, a reliability index of 2.5 could still be
485 maintained. As shown in the figure, most cases allow V_n to be reduced to about 80-90% (i.e. a loss
486 of 10-20%) of its nominal value while still maintaining an acceptable level of reliability for rating.

487 **Summary and Conclusion**

488 In this study, the effect of using a simple procedure to enhance the accuracy of shear capacity
489 prediction on PC bridge girder reliability in the rating process was examined. Although more
490 accurate methods for shear capacity evaluation are available, such as a detailed finite element
491 approach, such methods are associated with substantial effort and knowledge to implement and
492 are generally impractical for routine bridge rating. Although conservative, a simple method such
493 as that considered in this study is easy to implement, and can provide moderate increases in
494 accuracy.

495 As a result of the study, it was found that significantly more variation in shear reliability in
496 PC girders exists than assumed in the LRFD and MBE calibrations even when using the existing
497 shear capacity and load models without adjustment. This was due to the use of a constant bias
498 factor for shear resistance development, where use of a more detailed reliability model revealed
499 that resistance statistical parameters vary with beam geometry. For girders on the edge of
500 acceptability, such modeling, such as that used in this study, may be useful to prevent bridge
501 posting.

502 It was also found that using the simple shear adjustment factor considered may provide
503 significant advantages in bridge rating. Using Michigan PC bridge girders as an example, prior to
504 application of the shear adjustment factor, several cases were found to have reliability indices
505 below the minimum and average required rating reliability levels. Conversely, reliability indices
506 were found to have met the required levels when the shear adjustment factor was applied, thus
507 avoiding potentially rating structures unconservatively as well as reducing unnecessary traffic
508 restrictions.

509 Because most code-based procedures are conservative, the use of many refined techniques,
510 whether for capacity analysis such as that presented here, or, for example, the use of finite element
511 analysis for load distribution in lieu of the AASHTO expressions, frequently result in more
512 accurate, but less-conservative results. Although the AASHTO specifications allow such refined
513 methods, the code reliability targets, whether for rating or design, were set based on less accurate,
514 but more conservative methods. Thus, applying refined methods, such as Eq. 8, will practically
515 result in a lower safety margin overall than if the less accurate methods are used, even if the
516 required code reliability indices are met. If the overall reduction in conservatism is of concern, a
517 possible solution that retains the accuracy of the refined method, as well as the prior level of
518 conservatism, is to introduce an additional resistance factor with the refined procedure. This factor
519 would be set such that the average level of reliability using the refined method matches that for
520 the same structures analyzed using the code-based methods. As such, an adjustment is not
521 required, however, most agencies may be reluctant to implement this approach, particularly in the
522 context of rating. Regardless, although this is a broad issue and is beyond the scope of this study
523 to fully address, it should be considered in the use of any refined technique such as that presented
524 in the present paper.

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Table 1. Resistance Random Variables

RV		Parameter Name	Bias Factor	V	Distribution*	Nominal Value**
R ₁ ^a	f'_c (MPa)	Concrete Strength	0.95	0.150	LN	28-62
R ₂ ^a	b_v (mm)	Web Width	1.00	0.05-0.067	N	150-200
R ₃ ^b	d_e (mm)	Strand Effective Depth	1.00	0.017	N	1065-1500
R ₄ ^b	A_v (mm ²)	Stirrup Area	1.00	0.015	N	140-250
R ₅ ^b	f_y (MPa)	Stirrup Yield Strength	1.12	0.10	LN	414
R ₆ ^c	s (mm)	Stirrup Spacing	1.00	0.040	N	75-610
R ₇ ^a	f_{pu} (MPa)	Strand Ultimate Strength	1.04	0.025	LN	1,861
R ₈ ^a	E_{ps} (MPa)	Strand Young's Modulus	1.00	0.060	LN	196,500
R ₉ ^b	A_{ps} (mm ²)	Strand Area	1.00	0.0125	LN	1,615-
R ₁₀ ^a	b_e (mm)	Effective Width	1.00	0.003-0.008	N	1,220-
R ₁₁ ^b	h (mm)	Girder Height	1.00	0.011	N	915- 1,370

R_{12}^b	t_s (mm)	Slab Thickness	1.01	0.044	N	230
R_{13}^a	f'_{cs} (MPa)	Slab Concrete Strength	0.99	0.15	LN	28
	P_{LRFD}^d	LRFD Professional Factor	1.075	0.10	N	1.0
	P_{EQ8}	Eq. 8 Professional Factor	1.062	0.048	N	1.0

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*N=normal; LN=log-normal.
**Value depends on the specific girder design considered.
^aEllingwood et al. 1980; ^bSiriaksorn 1980; ^cNowak 1999; ^dNowak and Szerszen 2003

Table 2. Dead Load Random Variables

RV	Bias Factor	V
Q_P	1.03	0.08
Q_S	1.05	0.10
Q_W	*	0.25

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*Wearing surface is taken as a mean thickness of 89 mm.

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Table 3. Shear Live Load Statistics for Michigan-Specific Traffic

Span (m)	Mean Maximum Shear Load (kN)	Coefficient of Variation		
		V_{proj}	V_{site}	V_{Lmax}
15.2	712	0.035	0.12	0.14
24.4	938	0.035	0.13	0.15
30.5	1040	0.035	0.13	0.16
61	1380	0.037	0.13	0.16

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Fig. 4. Reliability indices for typical PC girders using AASHTO LRFD load models

Fig. 5. Reliability indices for shear based on Michigan traffic loads and Simplified Resistance

Model

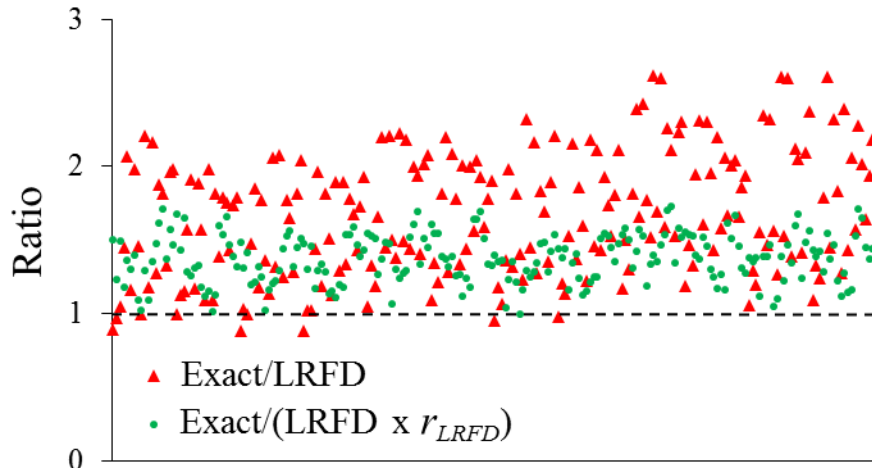
Fig. 6. Reliability indices for shear based on Michigan traffic loads and Initial Resistance Model

Fig. 7. Reliability indices for shear based on Michigan traffic loads and Updated Resistance

Model

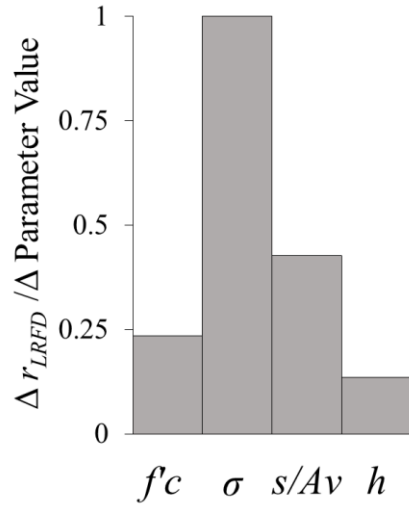
Fig. 8. Acceptable reduction in V_n for a reliability index of 2.5

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Fig. 1. Comparison of shear capacity ratios

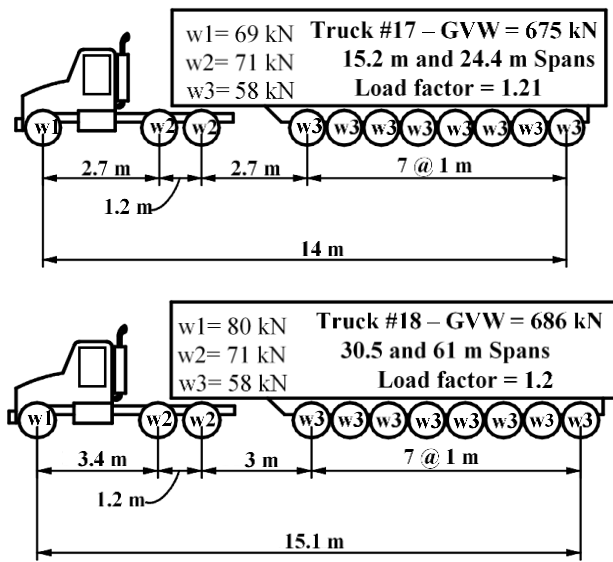


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Fig. 2. Normalized sensitivity results of shear resistance variables

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Fig. 3. MDOT governing legal trucks considered for reliability analysis (data from MDOT 2009)

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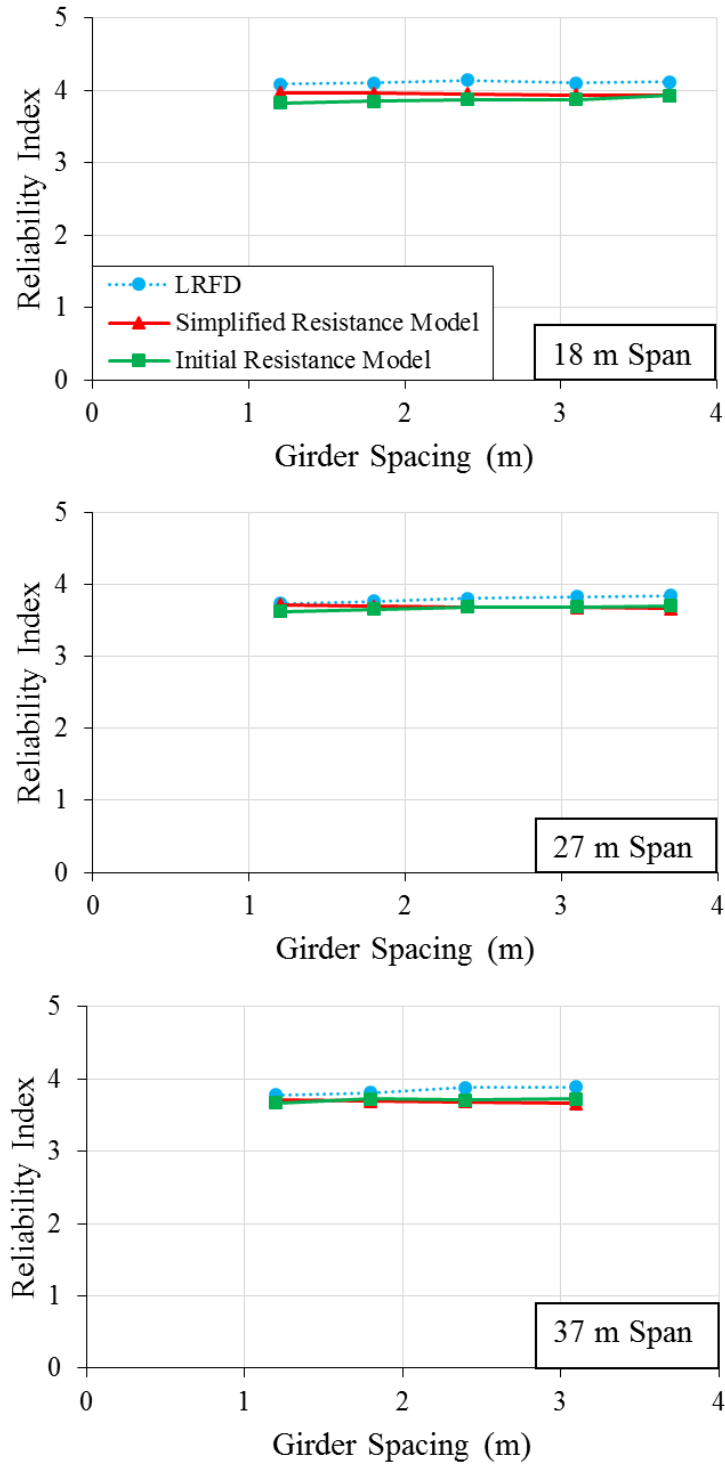
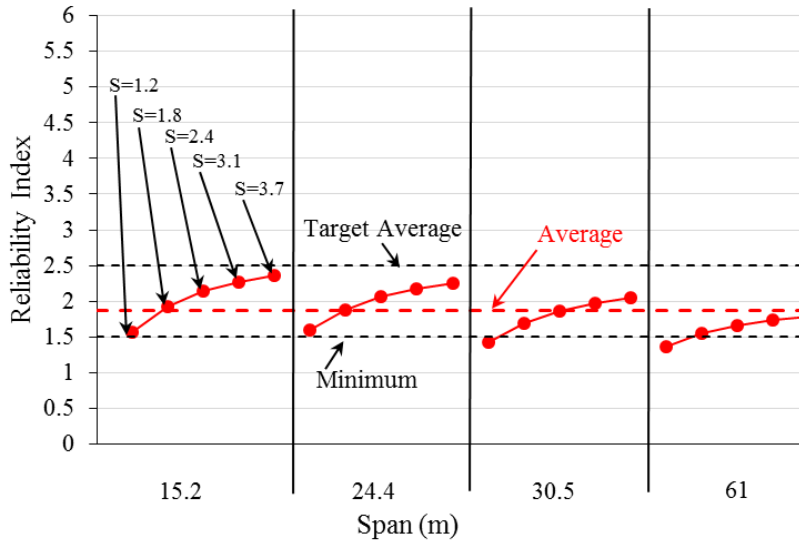


Fig. 4. Reliability indices for typical PC girders using AASHTO LRFD load models

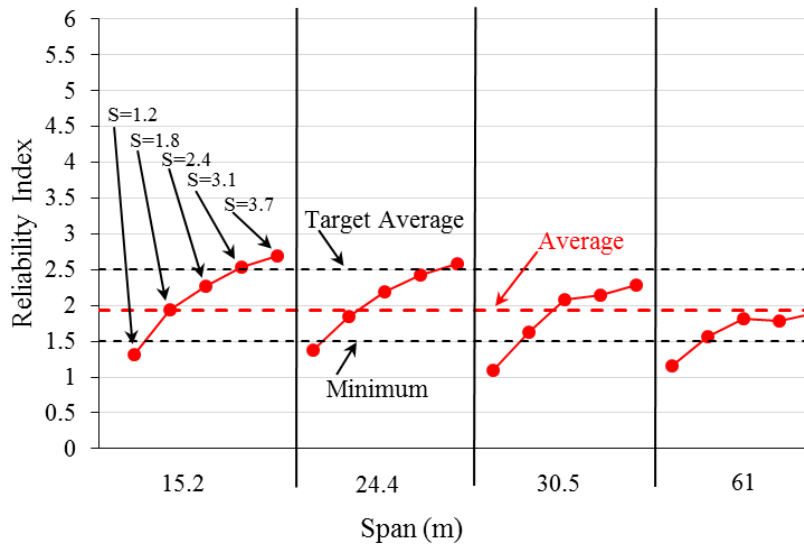
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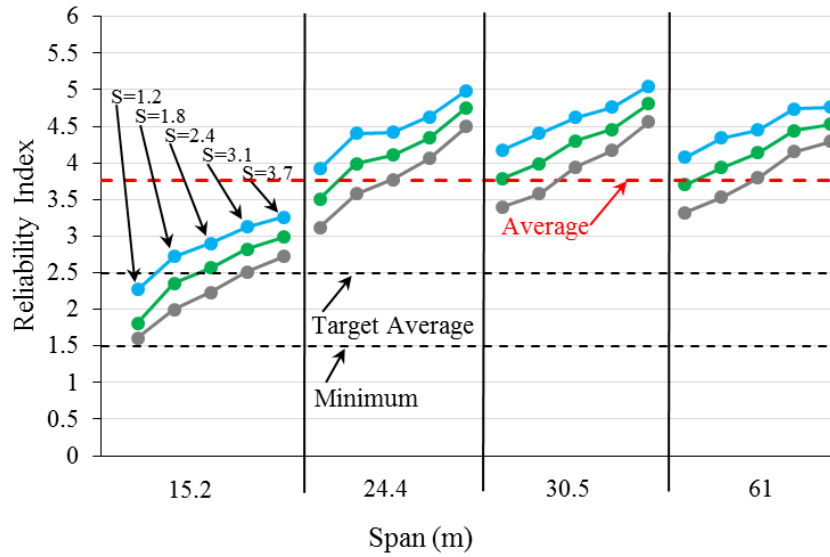
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841 **Fig. 5.** Reliability indices for shear based on Michigan traffic loads and Simplified Resistance
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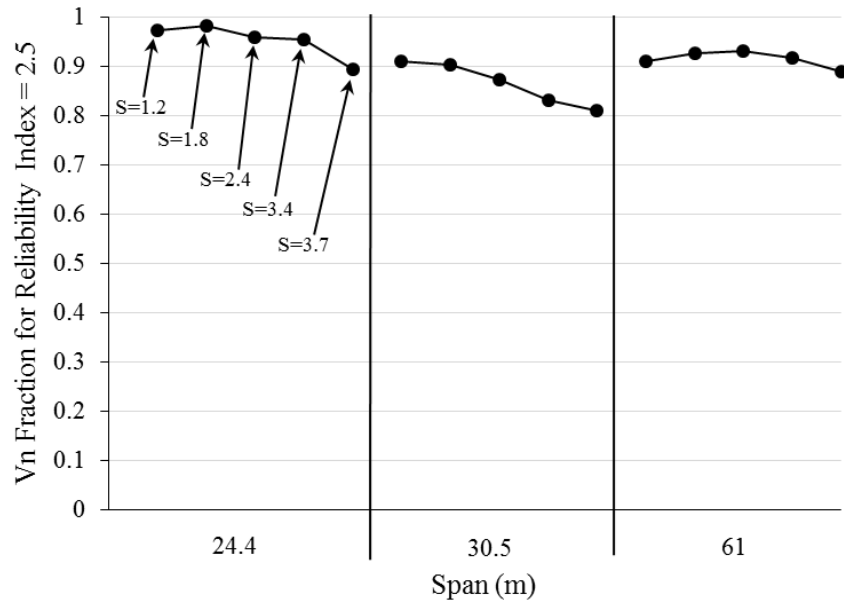
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847 **Fig. 6.** Reliability indices for shear based on Michigan traffic loads and Initial Resistance Model
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Fig. 7. Reliability indices for shear based on Michigan traffic loads and Updated Resistance Model



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Fig. 8. Typical acceptable reduction in V_n for a reliability index of 2.5