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Development of Traffic Live Load Models for Bridge Superstructure Rating with RBDO and Best Selection Approach

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1 **Development of Traffic Live Load Models for Bridge Superstructure Rating with RBDO**
2 **and Best Selection Approach**

3 Sasan Siavashi¹ and Christopher D. Eamon²

4 **Abstract**

5 Reliability-based design optimization (RBDO) is frequently used to determine optimal structural
6 geometry and material characteristics that can best meet performance goals while considering
7 uncertainties. In this study, the effectiveness of RBDO to develop a rating load model for a set
8 of bridge structures is explored, as well as the use of an alternate Best Selection procedure that
9 requires substantially less computational effort. The specific problem investigated is the
10 development of a vehicular load model for use in bridge rating, where the objective of the
11 optimization is to minimize the variation in reliability index across different girder types and
12 bridge geometries. Moment and shear limit states are considered, where girder resistance and
13 load random variables are included in the reliability analysis. It was found that the proposed Best
14 Selection approach could be used to develop rating model as nearly as effective as an ideal
15 RBDO solution but with significantly less computational effort. Both approaches significantly
16 reduced the range and coefficient of variation of reliability index among the bridge cases
17 considered.

18 **Author Keywords:**

19 Optimization, Reliability-based design optimization, Load rating, Load model

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25 **Introduction**

26 Bridge load rating is required by the US Department of Transportation (DOT) to assure
27 that structures within each state inventory are sufficiently safe for vehicular traffic. Bridge rating
28 procedures are specified in the Manual for Bridge Evaluation (MBE) (AASHTO 2018), where
29 rating for design, legal, and permit loads is discussed. Generally, it is desired by the DOTs to
30 limit bridge posting as much as possible, as restrictions prevent the general public, as well as
31 commercial vehicles, from fully utilizing the transportation network. Typically, the design load
32 rating evaluates the ability of the bridge to carry the HL-93 design load specified in the
33 American Association of State Highway and Transportation Officials Load and Resistance
34 Factor Design Specifications (AASHTO LRFD 2017) and is used to complete the Federal
35 inventory rating. The design load is also used to evaluate the bridge at the Federal operating
36 level, where capacity associated with a lower level of reliability is assessed. Structures are also
37 rated for state-specific legal loads at the operating level, to determine if traffic restriction is
38 required.

39 Since 2003, with the publication of the Manual for Condition Evaluation and Load and
40 Resistance Factor Rating (LRFR) of Highway Bridges (AASHTO 2003), bridge rating has been
41 implicitly based on an assessment of structural reliability. The MBE was later released in 2008,
42 replacing the initial LRFR specifications as well as the alternative 1998 Manual for Condition
43 Evaluation of Bridges (based on Load Factor Rating, which was not reliability-based). The
44 purpose of the LRFR version was to provide a more consistent level of safety than that achieved
45 under the previous procedure. Part of the LRFR calibration effort was to develop appropriate
46 vehicular live load statistics used in the reliability assessment to establish live load factors for
47 rating. These factors were later revised in 2011 (Sivakumar and Ghosn 2011) using weigh-in-

48 motion (WIM) data from truck traffic collected from six states. Based on a 5-year return period
49 for load rating, the recalibrated MBE rating process was formulated to result in an average target
50 reliability index (β) of 2.5, with a minimum level of 1.5 for any particular structure.

51 Although the WIM data collected to develop the live load factors in the MBE represented
52 a significant improvement in load modeling over previous versions, understandably, it does not
53 necessarily well-represent the traffic loads in various other states that were not included in the
54 MBE calibration effort. However, a number of states initiated efforts to develop unique live load
55 models to better represent local traffic data. Some of these include Missouri (Pelphery et al.
56 2006), Oregon (Kwon et al. 2010), and New York (Ghosn et al. 2011; Anitori et al. 2017), where
57 state-specific WIM data were used to develop new live load factors for bridge design and rating.
58 Similar work includes that implemented by Texas (Lee and Souny-Slitine 1998) and Wisconsin
59 (Tatabai et al. 2009), which used WIM data to better characterize vehicle load effects.

60 More recently, Eamon and Siavashi (2018) revised the Michigan DOT (MDOT) bridge
61 rating procedure based on a reliability-based analysis of WIM data. It was found that use of
62 existing rating vehicles produced significant inconsistencies in reliability. That is, for a given
63 rating factor, one structure had a significantly different level of reliability than another. This
64 inconsistency varied depending on bridge geometry, girder material type, and mode of failure.
65 One way to resolve this problem would be to vary the live load factor on the rating vehicle as
66 necessary to match the required reliability level. However, this approach would be impractical,
67 requiring many hundreds of different load factors, one for each bridge type and geometry. An
68 alternative possible solution is to simply apply the largest live load factor required across all
69 cases, such that the minimum specified reliability level is always achieved. From the perspective
70 of the DOT, this simpler approach is highly undesirable, as it would result in a large number of

71 under-rated structures, potentially leading to unnecessary traffic restriction. Because the pattern
72 of required load factor variation is complex, the development of an appropriate live load model
73 for rating is not obvious. For such problems, reliability-based design optimization (RBDO) may
74 be an appropriate solution approach.

75 In a typical RBDO procedure, geometric (or material) design parameters are taken as
76 design variables (DVs), where an optimal set is determined that best meets specified
77 performance criteria when subjected to reliability-based constraints. Various research efforts
78 have used this approach to optimize hypothetical bridge designs for different performance goals,
79 such as cost minimization (Thoft 2000; Turan and Yanmaz 2011; Behnam and Eamon 2013;
80 Saad et al. 2016; Garcia-Segura et al. 2017); weight (Nakib 1991; Yang et al. 2011; Thompson et
81 al. 2006), and resistance to extreme loads (Negaro and Simoes 2004; Basha and Sivakumar 2010;
82 Kusano et al. 2014).

83 In this study, rather than taking design variables as geometric characteristics of a bridge
84 to develop an optimal design, DVs are taken as representative parameters of the rating model
85 itself. That is, RBDO is not used to develop an optimal structural design, but rather an optimal
86 live load model to be used for bridge rating. As such, the first objective of this study is to
87 examine the viability of using RBDO to develop a rating live load model, with the objective to
88 minimize the inconsistencies in rating factor and corresponding reliability level among many
89 different types of bridge girders. Kamjoo and Eamon (2018) recently proposed a similar
90 approach for development of a load model for design.

91 Although an RBDO result may represent a theoretically ideal solution, it is accompanied
92 by several notable drawbacks: high computational cost, a somewhat complex problem
93 formulation, and a resulting load model that may bear little resemblance to any realistic vehicle

94 configuration. Thus, the second, and primary objective of this study is to evaluate the
95 effectiveness of a simple and much less costly alternative approach which provides not an
96 optimal solution, but the best solution available based only on measured actual vehicle
97 configurations.

98

99 **Traffic Data Collection**

100 As noted above, various agencies have collected state-specific WIM data and have used
101 those data to refine bridge rating models. To evaluate the viability of the two approaches for
102 rating load model development considered in this study, traffic data collected from Michigan are
103 considered as an example. The WIM data used here were obtained from consideration of
104 approximately 40 Michigan stations with high-speed (1000 Hz) sampling necessarily to
105 accurately record vehicle configurations and positioning. Of these sites, a selection of 20
106 representative locations throughout the State were chosen in different average daily truck traffic
107 (ADTT) categories ranging from approximately 400 to 16000. These stations are generally on
108 major routes (State and Interstate roadways). The WIM data used were collected over 34 months
109 from February 2014 to January 2017, excluding April and May 2014, which were unavailable.
110 Since WIM data is often associated with collection errors, data filtering criteria were employed
111 to eliminate unrealistic records from the 101 million vehicle database, such as feasible
112 limitations on axle spacing, weight, speed, and length (for example, truck axles spaced closer
113 than 1 m; heavy trucks with speeds over 160 kph; axle weights over 312 kN, etc.; see Eamon et
114 al. 2016 for a complete description of these criteria). To further confirm the reasonableness of
115 the WIM data, several checks were implemented as recommended in NCHRP 683, such as

116 comparison of the gross vehicle weight (GVW) frequency histograms, mean and modal axle
117 spacing, GVW, and axle weights to generally expected values (Eamon and Siavashi 2018).
118 The database was then further reduced to consider only legal and routine (annual) permit
119 vehicles, which MDOT groups together for Strength I limit state evaluation (i.e. normal use of
120 the bridge) within the legal load rating framework. A summary of the criteria used to categorize
121 a record as a legal or routine permit vehicle is given in Table 1. After applying the filtering
122 criteria, about 89 million vehicle records remained and were considered for later load effect
123 analysis, as described below.

124

125 **Reliability-Based Design Optimization**

126 Probability theory is most commonly used to model uncertainty in reliability-based design
127 optimization. Correspondingly, an RBDO problem defines a set of NDV design variables
128 $\mathbf{Y} = \{Y_1, Y_2, \dots, Y_{NDV}\}^T$ to be determined that minimizes or maximizes given performance criteria, as
129 well as a set of n random variables $\mathbf{X} = \{X_1, X_2, \dots, X_n\}^T$ that describe load, resistance, and other
130 uncertainties. Given a probabilistic limit state function $g(\mathbf{X}, \mathbf{Y})$ for consideration, failure can be
131 defined as $g(\mathbf{X}, \mathbf{Y}) \leq 0$, and correspondingly, $g(\mathbf{X}, \mathbf{Y}) > 0$ implies safety while $g(\mathbf{X}, \mathbf{Y}) = 0$
132 represents the boundary between the failed and safe regions.

133 Various methods of formulating and solving RBDO problems have been proposed
134 (Enevoldsen and Sorensen 1995; Tu et al. 1999; Rais-Rohani and Xie 2005; Kharmanda and
135 Olhoff 2007; Aoues and Chateauneuf 2010, etc), including numerous approximate methods for
136 assessing probabilistic constraints to reduce computational effort (Enevoldsen and Sorensen
137 1995; Tu et al. 1999; Du and Chen 2004; Qu and Haftka 2004). In this study, an RBDO
138 approach is used to develop a live load rating model that should result in a requirement for traffic

139 restriction to occur on any structure when it reaches a minimum specified level of reliability. In
140 other words, the variation in reliability level among different structures, at the point at which
141 traffic restriction is imposed, is minimized (ideally zero).

142 With this approach in mind, the optimization problem is described as:

$$\begin{aligned} 143 \quad & \min f(\mathbf{X}, \mathbf{Y}) \\ 144 \quad & \\ 145 \quad & \text{s. t. } \beta_i \geq \beta_{\min}; i = 1, N_p \quad (1) \\ 146 \quad & Y_k^l \leq Y_k \leq Y_k^u; k = 1, NDV \end{aligned}$$

147
148 where $f(\mathbf{X}, \mathbf{Y})$ is an objective function quantifying variability in structural reliability among the
149 different bridge girders considered for rating, as described below; β_i is the reliability index
150 constraint for girder i among N_p structures considered; β_{\min} is the minimum acceptable reliability
151 index; and Y_k is the k^{th} design variable among NDV design variables, with lower and upper
152 bounds given as Y_k^l and Y_k^u .

153 As discussed earlier, objective functions for bridge-related RBDO problems have been most
154 commonly expressed in term of weight or cost, such that these performance measures can be
155 minimized. Here, the desire is to minimize variation in reliability among different girders, and
156 thus $f(\mathbf{X}, \mathbf{Y})$ must be formulated to quantify this variation. It follows that if all girders match the
157 desired reliability index at the same reference value for rating factor, variation from the target
158 reliability level (β_T) is zero and an ideal solution results. Variation from a target level can of
159 course be quantified in numerous ways, such as mean squared error, root mean squared error, R-

160 squared, mean absolute error, and many others. Mean squared error is used in this study, which
161 results in an objective function formulated as:

$$162 \quad f(X, Y) = \sum_{i=1}^{N_p} \frac{(\beta_i - \beta_T)^2}{N_p} \quad (2)$$

163

164 **Reliability Analysis**

165 Random variables X used for reliability assessment are girder resistance (R) and load
166 effects, the latter of which include vehicle live load (LL), dynamic load (IM), and dead load from
167 prefabricated (D_p) and site-cast (D_s) components, as well as from the deck wearing surface (D_w).
168 Uncertainty in the distribution of vehicular live load to an individual girder is also considered
169 (DF). Bias factor (ratio of mean to nominal value) and coefficient of variation (COV) for
170 random variables are given in Table 2. With the exception of live load (LL), which is calculated
171 from Michigan-specific data, all random variable statistical parameters are based on those used
172 in the AASHTO LRFD (Nowak 1999) and MBE calibrations (AASHTO 2018). For reliability
173 assessment, girder resistance is considered lognormal whereas the sum of load effects is taken as
174 normally distributed, as assumed in previous calibrations for consistency (Nowak 1999;
175 Sivakumar et al. 2011).

176 As reported by Eamon and Siavashi (2018), vehicular live load statistics were developed
177 from the 89 million records of WIM data collected from Michigan as described above, where
178 load effects were calculated by incrementing trains of measured vehicles across various
179 hypothetical bridge spans from 6-60 m and recording maximum moment and shears. In this
180 process, the total load effect to a girder caused by the actual vehicle locations relative to one-
181 another in single and adjacent lane placements were maintained. Live load effects were

182 proportioned to the girder based on mean values of DF , where nominal values are specified in
183 AASHTO LRFD as a function of bridge geometry. Vehicle live load is then projected to an
184 assumed 5-year rating period as specified in the MBE (corresponding to the maximum assumed
185 time between inspections) for legal and routine permit rating, using an Extreme Type I
186 extrapolation, which was found to well-fit the Michigan data (Eamon et. al 2016). These live
187 load effects were found to have significant variation from the existing Michigan as well as MBE
188 rating models, as shown by the varying bias factor and COV for LL in Table 2. In particular, a
189 bias factor of unity and COV of zero would indicate that the mean maximum value for live load
190 exactly matches the load effect caused by the existing (Michigan) rating model with no
191 uncertainty. As noted earlier, this difference was identified as the cause of the significant
192 discrepancy in girder rating reliability on Michigan bridge structures (Eamon and Siavashi
193 2018).

194 Once random variables are defined, the general limit state function g for each bridge
195 girder i can be written as:

$$196 \quad g_i = R - (D_p + D_s + D_w) - DF(LL + IM) \quad (3)$$

197 with random variables R , D_p , D_s , D_w , DF , IM , and LL defined above. Limit states are
198 formed for simple span load effects (moment and shear) for prestressed concrete I-shaped
199 girders, composite steel girders, reinforced concrete girders, and spread and side-by-side
200 prestressed concrete box beams. Bridges are assumed to support a reinforced concrete deck and
201 have a wearing surface and additional items such as barriers and diaphragms relevant for dead
202 load calculation. Dead loads are based on those used in the MBE calibration (NCHRP 683).
203 Bridges are taken as two lane, with span lengths from 6-60 meter in increments of 6 m (limited
204 to 30 m for reinforced concrete). Girder spacing varied from 1.2 to 3.6 meter at 0.6 m

205 increments, while for side-by-side box beams, two widths (0.9 meters and 1.2 meters) were
206 considered. Thus, considering all combinations of length (10) and girder spacing (5)
207 increments results in 50 geometries each for prestressed concrete, steel, and spread box beam
208 bridge types; 25 for reinforced concrete; and 20 side-by-side box beams, for 195 cases. The
209 range of these geometries and types covers nearly all girder bridges in the state inventory.

210 The target reliability index associated with the MBE is $\beta_T = 2.5$, which represents the
211 average required reliability level across all girders considered (AASHTO 2018). Although
212 during the MBE calibration the reliability index of any particular girder was allowed to fall as
213 low as 1.5, this represents a very low level of nominal reliability that not all DOTs may be
214 comfortable with ($\beta=2.5$ corresponds to failure probability $p_f \approx 1:160$ whereas $\beta=1.5$
215 corresponds to $p_f \approx 1:15$, an order of magnitude of difference; however, these reliability targets
216 are notional values and corresponding failure probabilities should not be taken literally). In this
217 study, a higher minimum level is imposed such that the minimum (β_{min}) as well as the target (β_T)
218 indices are taken as 2.5, although this creates a more challenging problem for the solution
219 methods considered to address.

220 To establish nominal values for girder resistance for use in the reliability analysis, the
221 minimum requirements of acceptability must be identified, to avoid biasing reliability results
222 upward by analyzing conservatively-designed components. For example, in the case of design,
223 for LRFD in general, this criteria is expressed as: $\phi R_n = \sum \gamma_i Q_i$, (where γ_i are load factors and Q_i
224 are load effects), and thus the minimum acceptable value for R_n , which is to be used in the
225 reliability analysis, can be established if load effects Q are known.

226 In the case of rating, acceptability is expressed in terms of rating factor, for which the
227 minimum acceptable value (i.e. without requiring traffic restriction) is 1.0. Rating factor (RF) is
228 given in the MBE by:

$$229 \quad RF = \frac{\phi R_n - 1.25DC - 1.5DW}{\gamma_{LL}(LL + IM)} \quad (4)$$

230 In this expression, resistance factor ϕ varies as a function of girder type and failure mode; R_n is
231 the nominal resistance of the component; DC and DW are the dead loads of the structure and
232 the wearing surface, respectively; IM is specified as 1.33, LL is the rating vehicle live load effect,
233 and γ_{LL} is the rating vehicle load factor.

234 To meet the required reliability level, the rating vehicle must produce a live load effect
235 (LL) that produces $\beta_T = 2.5$ when $RF = 1.0$. Thus, setting $RF = 1.0$ and solving for the required
236 R_n results in:

$$237 \quad R_n = (1/\phi)(1.25DC + 1.5DW + \gamma_{LL}(LL + IM)) \quad (5)$$

238 which is the minimum nominal resistance for consideration in reliability rating. Here it should
239 be noted that R_n from Eq. 5 represents a notional, or theoretical resistance, used for evaluation of
240 the reliability level associated with the rating process, and does not necessarily represent the
241 resistance of an actual girder. This is analogous to the standard practice of evaluating
242 components with resistance set just equal to the design limit for reliability assessment of design
243 code specifications, even though actual components are typically over-designed (Nowak 1999).

244 Considering Eq. 5, if dead load and live load effects are known, R_n can be established.
245 With R_n , known, the mean value \bar{R} of the girder resistance random variable R can be determined
246 using the bias factors λ shown in Table 2 ($\bar{R} = \lambda \times R_n$), and then the reliability index of the limit

247 state in Eq. 3 computed. In this study, however, for which an optimal live load model is to be
248 determined, the total live load effect produced by the rating model ($\gamma_{LL}(LL+IM)$) is unknown. It
249 can be found by setting $\beta_T = 2.5$, then determining the minimum value of $\gamma_{LL}(LL+IM)$ needed to
250 produce an R_n (and in particular, the mean value of R) that will satisfy the reliability target. For
251 convenience, in this study, the quantity $\gamma_{LL}(LL+IM)$ is referred to as the required load effect
252 (RLE); i.e. the total load effect required by the live load rating model such that $\beta = 2.5$ when
253 $RF=1.0$.

254 In summary, the reliability process is as follows. First, nominal and mean (using the bias
255 factors given in Table 2) values for dead load random variables (D_p, D_s, D_w) and live load
256 distribution factor (DF) are calculated from a selection of typical bridge designs used in previous
257 reliability-based calibration efforts as described above. Next, the mean value of R , needed for
258 reliability analysis, is expressed as the function: $\bar{R} = \lambda \times R_n$, where R_n is given by Eq. 5 and bias
259 factor (λ) given in Table 2 for the type of girder and failure mode considered. Note that R_n , and
260 hence \bar{R} , remains a function of the unknown RLE ($\gamma_{LL}(LL+IM)$). Then, reliability index is set to
261 the target level (2.5), and its evaluation is expressed as a function of the random variables ($R, D_p,$
262 $D_s, D_w, DF, IM,$ and LL) discussed above, considering the limit state function given by Eq. 3. In
263 this calculation, mean girder resistance \bar{R} remains a function of the unknown RLE. In the
264 calculation of β , since reliability index is preset to a known value, the only unknown is the RLE,
265 which is solved for. Thus, the live load effect needed to be produced by the rating live load
266 model (RLE) in order to meet the minimum reliability target can be determined.

267 A multitude of methods are available to assess the reliability index β_i of the limit state
268 function (Eq. 3), the result of which is used in Eqs. 1 and 2. As optimization generally involves
269 many iterations, the computational cost of each cycle becomes an important factor in the

270 feasibility of the RBDO process. For the particular problem considered here, approximately 195
271 reliability constraints for moment or shear must be evaluated at every optimization cycle (one for
272 each bridge type and geometry considered, as given above). Additionally, reliability index must
273 be computed twice for each girder to determine whether the governing load effect is generated
274 by vehicles in a single lane or in both lanes. This process thus requires nearly 800 calculations of
275 reliability index for each optimization iteration.

276 One approach that allows reliability index to be quickly computed is the First Order,
277 Second Moment (FOSM) method, as a closed-form function of the means and standard
278 deviations of random variables. Although its small computational demand is ideal for RBDO,
279 FOSM does not provide exact solutions for limit state functions that are algebraically nonlinear
280 or composed of non-normal random variables. This is problematic in this study because girder
281 resistance R is taken to be lognormal, which will produce a conservative estimate of β if FOSM
282 is used. The degree of conservatism using FOSM with the limit state functions and random
283 variables considered here was investigated by Eamon et al (2014), where it was found that the
284 error in FOSM from the exact solution is consistent at a given level of reliability. That is,
285 regardless of bridge geometry or girder type, the FOSM approach produced a reliability index
286 with a consistent level of conservativeness from the exact value. For the target reliability index
287 used in this study ($\beta_T=2.5$), the ratio of the exact value to the FOSM solution was found to be
288 approximately 1.04. Therefore, in this study, the FOSM method is used with the modification
289 suggested by Eamon et al. (2014), where the solution is adjusted by the factor of 1.04 when the
290 target reliability index constraint of 2.5 is imposed in the optimization. It should be emphasized
291 that this adjustment is valid only for the specific limit state functions and random variable
292 parameters used in this study. For other reliability problems, either a more general but costly

293 approach must be used, such as FORM, the First Order Reliability Method (Rackwitz and
294 Fiessler 1978), or a new FOSM adjustment factor developed. For verification, a sample of girder
295 reliability indices were computed with Monte Carlo Simulation (MCS) with 1×10^6 simulations at
296 the completion of the RBDO. It was found that the indices estimated with the modified FOSM
297 approach described above were within 1% of the “exact” MCS values.

298 **Design Variables**

299 As noted above, design variables within previous RBDO procedures applied to bridges
300 were used to describe geometric and potentially material properties. In this study, however, the
301 optimization concerns a rating load model rather than a structural configuration. As such, design
302 variables must describe critical parameters that define the load model. The existing nominal
303 vehicular load rating model given in the MBE is the governing case of three trucks (Types 3,
304 3S2, and 3-3; see Figure 1), with a load factor of 1.35. As noted above, to account for local
305 vehicle load requirements, which may higher than the federal standard, some states such as
306 Michigan have increased this rating load. In particular, MDOT specifies 28 vehicles with
307 different load factors for rating, which are meant to model possible legal configurations (MDOT
308 2005). Of these rating trucks, those that provided the maximum load effects for the spans
309 considered in this study are given in Figure 2. As noted above, use of this existing MDOT rating
310 model, as well as that given by the MBE, produced highly inconsistent girder reliabilities in
311 rating (Eamon and Siavashi 2018).

312 A simple way that design variables could be used to develop a live load model is to use
313 these parameters to describe a particular rating truck configuration. That is, the number of axles,
314 axle spacing, and axle weights could be taken as design variables in the optimization. Although
315 simple, this approach is somewhat constraining and does not fully utilize the potential of the

316 RBDO process, as a single rating truck may not provide a good representation of the actual load
317 effects measured across all bridge spans. That is, the load effects that can be generated by a
318 rating truck are not nearly as flexible as load effects that can be generated by other means, such
319 as various mathematical functions not necessarily linked to the physical representation of a
320 single vehicle. This increased flexibility is potentially important because the load effect
321 generated by the rating model must not only account for the effects of single vehicles, but also
322 for load effects caused by multiple following vehicles in one lane, as well as groups of side-by-
323 side vehicles in two lanes, all of which contributed to the development of the live load random
324 variable (*LL*) statistics shown in Table 2. Thus, the mean maximum live load effect used in the
325 reliability analysis is the result of a complex pattern of traffic loads as a function of bridge span,
326 which may be difficult to well-represent by a single rating vehicle.

327 Therefore, to allow the optimizer the greatest possibility to reach an ideal rating model
328 with minimal variation in reliability (and thus minimize the objective function given by Eq. 2),
329 design variables are not used to describe a physical representation of a rating vehicle, but rather
330 to directly describe the required live load effect (RLE) caused by a rating vehicle, as a function
331 of bridge span. As defined above, the RLE refers to the total live load effect that must be
332 imposed on the structure in the rating process in order to meet the specified reliability target.

333 Prior to the optimization, a preliminary evaluation was done by fitting various
334 expressions to a selection of RLE values corresponding to different span lengths. This goodness
335 of fit should give a reasonable indication of how successful the curve could be used in the
336 optimization, as if the sample of RLEs can be well matched, then variation in reliability index
337 should be able to be well minimized in the RBDO. The curves considered included polynomial,
338 logarithmic, power, compound, logistic, growth, exponential, and sum of sines functions. Using

339 root mean square error as a metric, it was found that a sum of sines function, similar to a Fourier
340 series, could best fit the required rating load effect, and is given as:

$$355 \quad RLE = \sum_{i=1}^n a_i \sin(b_i x + c_i) \quad (6)$$

341 where for n terms, constants a_i , b_i , and c_i represent design variables to be determined in
342 the optimization and x is bridge span length. Because the variation in RLE with respect to
343 moment was found to be substantially different from that of shear, the analysis was conducted
344 separately for shear and moment load effects to maximize the goodness of fit that could be
345 obtained in each case. It was found that for both moment and shear, 3 terms are sufficient for
346 describing required load effects, producing 9 design variables for load effects. Note that
347 Equation 6 is not only significantly more flexible in generating RLE than a single rating truck,
348 but it is also practically less complex in the RBDO. For example, a single 5-axle rating truck
349 would also require up to 9 design variables to describe axle weights and spacing (5 variables for
350 axle weights and 4 for spacing), as well as accompanying expressions needed for conversion of
351 the truck configuration to maximum moment and shear load effects on a given span. Although
352 this study is limited to simple span structures, it was found that the sum of sines function could
353 similarly best fit the variation in RLE required for two-span continuous bridges. However, this
354 would likely require development of a separate optimized load model for best results.

356 Lower (Y_k^l) and upper (Y_k^u) bounds for the design variables (i.e. constants within Eq. 6)
357 are specified to be from $-100000 \leq Y_k \leq 100000$. Although not reached in the final results, the
358 limits are important as they influence the generation of design variable values during each
359 iteration of the optimization, as discussed in the section below.

360 In the optimization, the RLE within Eq. 5 (i.e. the quantity $\gamma_{LL}(LL+IM)$) is taken as a
361 function given by Eq. 6, with design variables a_i , b_i , and c_i ($i = 1-3$). Following the reliability
362 procedure described above, Eq. 5 in turn determines R_n , which then affects the calculation of
363 girder reliability. Therefore, in one cycle of the RBDO, trial values for design variables a_i-c_i are
364 found, then the RLE, R_n , and finally reliability index for all girders is computed. The objective
365 function (Eq. 2) is then evaluated. Based on the results of Eq. 2, which quantifies the
366 inconsistency in reliability for different girders, the optimizer determines new trial values of the
367 design variables, in an attempt to minimize Eq. 2.

368 **Solution of RBDO Problem**

369 A simple RBDO approach typically requires two iterations; one iteration, the primary
370 ‘outer’ loop, involves the optimizer, while the ‘inner’ nested loop concerns the reliability
371 algorithm. In each cycle of the optimization, the objective function (Eq. 2) and reliability
372 constraints ($\beta_{min} = 2.5$) are evaluated based on the current design variable (a_i , b_i , c_i) values, and
373 based on these results, design variable values are updated for use in the next iteration. To update
374 these values, each optimization iteration requires multiple evaluations of the objective function,
375 while if an iterative reliability algorithm is used, multiple evaluations of the limit state function
376 are also required. Thus, the double-loop procedure demands high computational effort.

377 The most common ways to reduce this effort are focused on modifying the interaction of
378 the optimization and reliability algorithms (Kharmanda et al. 2002; Chen et al. 2002; Yang and
379 Gu 2004; Mohsine et al. 2006), or directly increasing the efficiency of the reliability method by
380 using approximate, direct methods in lieu of iterative-intensive approaches (Kirjner-Neto et al.
381 1998; Grandhi and Wang 1998; Koch and Kodiyalam 1999; Choi and Park 2001; Young and
382 Choi 2004; Zou and Mahadevan 2006; Agarwal et al. 2007). As noted above, in this study, the

383 later approach is used where computational efficiency is improved by using a non-iterative
384 reliability algorithm, modified for accuracy, thus eliminating the inner iterative loop in the
385 RBDO.

386 As with reliability algorithms, numerous optimization solution procedures are available.
387 One approach is to use a gradient-based solver such as sequential quadratic programming or the
388 modified method of feasible directions (Soler et al. 2012; Vanderplaats 1999). With these
389 methods, gradients of the objective function are taken with respect to the design variables, then
390 this information is used to determine new design variable values for the next iteration cycle. A
391 different approach to optimization is represented by heuristic methods, which often use a form of
392 probabilistic simulation in lieu of computing numerical derivatives. Some of these methods
393 include Simulated Annealing (Kirkpatrick 1984), Insect Colony Optimization (Karaboga and
394 Georgiou 1994), Genetic Algorithm (Koumoussis and Georgiou 1994), and Particle Swarm
395 Optimization (Kennedy 2011). In this study, a genetic algorithm (GA) is used, which the authors
396 found to be an effective method in consideration of alternatives used in previous work (Behnam
397 and Eamon 2013; Thompson et al. 2006; Rais-Rohani et al. 2010).

398 The GA method does not require derivative information, but only direct evaluation of the
399 objective function. At each iteration, new design variable values are determined with directed
400 probabilistic simulation. In general, the process starts with a large set of randomly generated
401 possible solutions (i.e. sets of design variable values), which are refined at each cycle by
402 evaluating how effectively the objective function is satisfied. New potential solutions are
403 generated from the most successful previous solutions until an optimal set is found. To generate
404 new solutions, for each successive iteration, two primary procedures, crossover and mutation, are
405 used. In the crossover procedure, subparts of two randomly selected previous solutions are

406 combined to form a new solution, whereas the mutation procedure applies random changes to
407 randomly selected individual solutions. The purpose of these operators is to retain potentially
408 effective solutions while avoiding convergence to a local rather than global optimum (Man et al.
409 1996; Tang et al. 1996; Konak et al. 2006; Hao and Xia 2002).

410 In this study, a possible solution refers to a set of design variable values that represent
411 the values of the constants (a_i, b_i, c_i) given in Eq. 6. The optimization starts by determining
412 1×10^6 possible solutions with Monte Carlo Simulation (MCS), using uniform distributions bound
413 by the limits Y_k^l and Y_k^u given above. This solution set size remains constant for all iterations.
414 Once this initial set of solutions is generated, the objective function (Eq. 2) is evaluated using all
415 of the potential solutions, and these results are recorded. The next iteration begins by generating
416 a refined set of solutions from several different sources: 1) 80% are obtained by randomly
417 choosing two solutions from the previous set and producing a new solution by taking a weighted
418 average of these two solution values, such that the more effective solution (that with the lowest
419 objective function value) is given proportionally more weight (crossover); 2) the top 10% of
420 most effective solutions are retained from the previous iteration; 3) 9.8% are obtained from
421 MCS, as with the initial set; 4) 0.2% are obtained by randomly choosing a solution from the
422 previous iteration, then randomly choosing one of its design variables and replacing that value
423 with a new, randomly generated value using the MCS process (mutation).

424 The objective function is then evaluated with this new set of potential solutions, and the
425 process repeats during subsequent iterations until the solution converges. Here, convergence
426 implies that additional iterations cannot produce a more optimal solution than that found in
427 previous iterations; i.e. that the objective function cannot be further minimized.

428

429 **Best Selection Approach**

430 As will be discussed in the Results section, the optimization procedure described above
431 can produce an excellent load model with very low variation in required load effect across the
432 different bridge spans. However, although an RBDO result may represent a theoretically ideal
433 solution, it is accompanied by several notable drawbacks: high computational cost, a somewhat
434 complex problem formulation, and a resulting load model that may bear little resemblance to a
435 realistic vehicle. In this study, an alternative approach is examined where rather than generate an
436 idealized load model by optimization, a set of truck records from the WIM data that produce the
437 least variation from the RLE across all spans and bridge types is formed. Then, an appropriate
438 load factor is chosen for each record in the set such that the RLE is provided for all bridge spans,
439 ensuring that the imposed minimum required reliability requirement of $\beta_{min} = 2.5$ is met. The
440 resulting vehicle that has the least variation in RLE once the load factor is applied is then chosen;
441 i.e. the ‘best’ available selection. This best selection approach represents a simpler and vastly
442 less computationally costly solution than that obtained from the RBDO. The implementation
443 details and effectiveness of this approach are discussed below.

444 The first step in this process is to select a set of initial trucks for further consideration.
445 The amount of WIM data available for load model development is typically large. The database
446 used for this study, for example, as noted above, contains 89 million legal and routine permit
447 vehicle records, and full consideration of all vehicles in this set is costly. A much smaller subset
448 of these vehicles can be selected for further consideration by comparing the range of ratios of
449 load effect produced by the vehicle to that required (RLE) across the bridge spans considered.
450 Vehicles are selected based on a range of provided to required load effect ratios. This selection
451 limit can be expressed as:

452
$$\frac{\left(\frac{VLE}{RLE}\right)_{max} - \left(\frac{VLE}{RLE}\right)_{min}}{\left(\frac{VLE}{RLE}\right)_{min}} < k \quad (7)$$

453 where $\left(\frac{VLE}{RLE}\right)_{max}$ and $\left(\frac{VLE}{RLE}\right)_{min}$ are the largest and smallest ratios of the vehicle load effect (VLE)
 454 to the required load effect (RLE), respectively, found across the bridge spans considered, and k is
 455 the fractional range limit imposed. It was found that a VLE/RLE range of approximately 10%
 456 (i.e. $k = 0.10$) provides a reasonable selection of vehicles for further consideration. In this study,
 457 using $k=0.10$ reduced the initial database of 89 million to about 2.2 million.

458 Although it may appear intuitive to do so, this first step does not simply select the vehicle
 459 with the single lowest range of (VLE/RLE); i.e. that which would seemingly produce the lowest
 460 discrepancy in reliability across the bridge spans considered. The reason for this is that the
 461 appropriate load factors are not yet known for the initial vehicles considered. Any vehicle taken
 462 from the WIM data, such as that which initially shows the lowest variation in VLE/RLE ratio,
 463 will require a load factor such that its total load effect at least meets the RLE across all bridge
 464 spans. However, when this load factor is imposed, it alters the range of (VLE/RLE) ratios,
 465 sometimes substantially. This frequently results in a vehicle which initially had the lowest
 466 (VLE/RLE) range to no longer having the lowest (VLE/RLE) range after the load factors are
 467 applied. This occurs because imposing higher load factors (such as required on lighter vehicles)
 468 magnifies the range of (VLE/RLE). This was found to be a nearly linear effect, where imposing
 469 a load factor of 2 would generally double the (VLE/RLE) range. This can be seen in Figure 3,
 470 which shows two trucks taken from the WIM data used in this study. Before load factors are
 471 applied, Truck 2 has the lowest range of (VLE/RLE) from spans of 6-61 m. However, after
 472 applying the required load factors to meet the RLE (1.60 for Truck 1 and 15.01 for Truck 2), the
 473 (VLE/RLE) range of Truck 1 is lowest. As noted above, setting the selection limit k at 0.10

474 provided best results as a balance between computational effort and potential for selecting the
475 best solution. Increasing k beyond about 0.1 was found to result in too many unnecessary
476 selections that are highly unlikely to be the optimal solution, needlessly increasing computational
477 effort. Conversely, lowering k much more than about 0.1 was found to eliminate potentially
478 optimal solutions.

479 After required load factors are applied, the next step is to determine the metric used for
480 best selection. One possible metric would simply be the range of factored vehicle load effect
481 (VLE_f) to RLE: (VLE_f/RLE) , where the vehicle with the lowest range would be selected.
482 However, the upper value of this range, $(VLE_f/RLE)_{max}$, may be governed by an outlier, a single,
483 particularly high result generated by a single bridge span. In this case, it may be more desirable
484 to select a vehicle that minimizes the amount of discrepancy among all bridge spans. Various
485 metrics of this nature are available. In this study, coefficient of variation (COV) is used for this
486 purpose. The final step is then to compute the selection metric for all vehicles in the set and
487 select the best result. In this case, COV of (VLE_f/RLE) was computed for all vehicles in the set,
488 and that with the lowest value was taken as the best selection.

489 In summary, the proposed approach is as follows:

490 1. Select a target reliability index β_T and compute corresponding required load effects
491 (RLEs) needed to rate each of the bridge girders considered, using the procedure summarized in
492 the “Reliability Analysis” section above. Note that although setting up the problem for the first
493 time may involve effort, once the process is programmed, obtaining the solution (i.e. the RLEs)
494 requires negligible computational time.

495 2. Compute the vehicle selection ratio given by the left side of Eq. 7 for all vehicle
496 records in the WIM database. Note that the vehicle load effects (VLEs) within Eq. 7 should be

497 readily available, since VLEs are needed for development of any reliability-based load model,
498 and would have been used to characterize vehicle live load as a random variable prior to the
499 reliability analysis (for example, see Eamon et al. 2016). Since Eq. 7 is very simple
500 algebraically, it requires relatively small computational effort, even when many millions of
501 vehicles are considered

502 3. For the set of trial vehicles that have selection ratios less than $k = 0.1$ (i.e. that satisfy
503 Eq. 7), for each vehicle, determine the load effect factor γ_F necessary for the VLE to match the
504 RLE of each considered girder. This is simply the RLE divided by the VLE: $\gamma_F = RLE/VLE$.
505 Then, apply the governing load effect factor γ_{GF} among all girders for that vehicle to its VLE to
506 produce the factored VLE: $VLE_f = VLE \times \gamma_{GF}$.

507 4. For each vehicle in the set of trial vehicles found in step 3, compute the COV of the
508 (VLE_f/RLE) ratios for each bridge girder considered. The result with lowest COV represents
509 the final, Best Selection vehicle to be chosen for the rating model. Note that the actual live load
510 factor required for MBE-based load rating (γ_{LL}) using this vehicle can be easily recovered by
511 setting the total imposed load effect (VLE_f) equal to the denominator of Eq. 4, and solving: $\gamma_{LL} =$
512 ($VLE_f / (LL + IM)$), where in this case LL represents the unfactored Best Selection vehicle load
513 effect. Since VLE_f and LL vary with span, the maximum γ_{LL} across all spans is chosen in
514 practice.

515 This process is summarized in Figure 4.

516

517 **Results**

518 Following the RBDO approach, because variation in girder reliability (as a function of
519 spacing and span) with respect to moment was found to be substantially different from that of

520 shear, the analysis was conducted separately for shear and moment load effects to maximize the
521 goodness of fit that could be obtained in each case. These results are calculated considering the
522 database of 195 hypothetical girder bridge designs of prestressed concrete I and box-shapes,
523 composite steel, and reinforced concrete, as discussed in the Reliability Analysis section above.
524 This results in two rating vehicles (models) from the procedures considered (RBDO and Best
525 Selection), one each for moment and shear effects, as compared to three existing AASHTO
526 rating trucks and 28 existing MDOT rating trucks for both moment and shear. For the RBDO,
527 the optimal results were obtained with approximately 500 iterations. For each load effect result
528 (moment and shear), the Best Selection approach was completed in approximately 17 minutes on
529 a modern desktop computer (with an Intel i7 2.7 GHz processor and 32 GB of RAM), while the
530 traditional RBDO process described requires approximately 14 hours of computational effort, an
531 increase in computational effort of nearly 50 times. Note that further reductions in computational
532 effort are likely possible with the use of more sophisticated algorithms and procedures. For
533 example, replacing the GA optimizer with a gradient-based solver may allow for greater
534 efficiency. However, such choices have possible drawbacks as well, such as finding local rather
535 than global minimums and potential convergence difficulties.

536 The final set of values obtained for the parameters of Eq. 6 are shown in Table 3, while
537 the trucks obtained from the Best Selection Approach are given in Figure 5. In Figure 6, the ratio
538 of the factored vehicle load effect to the required load effect (VLE_f/RLE) for rating moment
539 effect is given. In the figure, results are shown for the RBDO solution, the Best Selection Truck,
540 and the MDOT and AASHTO rating trucks, once required load factors are applied such that all
541 truck models meet the minimum RLE (i.e. $VLE_f/RLE \geq 1.0$). These load factors are 2.02, 1.35,
542 and 1.93 for the Best Selection and governing MDOT and AASHTO Trucks, respectively. For

543 each model, the governing bridge (i.e. that which produced least reliability, governing the
544 required minimum load factor) case was a side-by-side box beam bridge 6 m long; note that the
545 values given in the Figure represents the governing case of all bridge girder types considered
546 (steel, prestressed concrete, steel, side by side and spaced box beams) for a particular span. As
547 shown, most consistency as well as closeness to the RLE, and thus target reliability index, can be
548 obtained with the RBDO-developed model. This is particularly so when compared to the MDOT
549 rating trucks, which result in significant conservatism in rating for the shorter spans, where the
550 highest (VLE_f/RLE) ratio reached approximately 1.85 at the 18 m span. Although not as severe,
551 the AASHTO trucks also showed significant discrepancy at the lower spans, with a (VLE_f/RLE)
552 ratio of about 1.20 at the 18 m span. Figure 6 also shows that the single Best Selection Truck is
553 nearly as good as the RBDO model, producing discrepancies much less than existing MDOT and
554 AASHTO models. Results from all rating models shown in Figure 6 are quantified in Table 4,
555 where the minimum (β_{min}) and maximum (β_{max}) reliability indices corresponding to the largest
556 discrepancies shown in Figure 6 are given, as well as the coefficient of variation of reliability
557 index (V_β) from all girders considered across all bridge types and span is given. To fairly
558 compare results, a best possible outcome is also given, provided that the same rating load model
559 would be used for all bridge types, as is expected in rating practice. This is given as the “Exact
560 (using RLE)” result. For this case, the results presented in the table correspond to a (VLE_f/RLE)
561 ratio of 1.0 for all spans on Figure 6. Notice that this best possible outcome does not produce
562 identical reliability values across all cases, however, as the range of reliability index for the
563 “Exact” case actually varies from 2.5 – 3.95, as shown in Table 4. This occurs because there
564 are multiple bridge types analyzed when each span is considered, and because different
565 uncertainties in resistance and load distribution are associated with these different bridge types, a

566 different reliability index in rating will be achieved if the same load model is used to rate these
567 different types of structures (Eamon and Siavashi 2018). In the results shown, as noted above, it
568 is assumed that the same rating truck will be used for all bridge types of a given span; i.e. the
569 rating agency would not use one type of rating truck for steel girders, and a different rating truck
570 for concrete girders, etc. Because the same rating model is used for all bridge types, only one of
571 these types will produce the largest RLE, and the others, with lower RLE, will be rated
572 somewhat more conservatively. It is this governing RLE case that is shown on Figure 6 as a
573 function of span. Thus, a variation in reliability index, as shown in Table 4, results even for the
574 “Exact” case, which practically cannot be improved further.

575 As shown in Table 4, the RBDO model produces results nearly identical to the Exact
576 model, with only a slightly higher average reliability index among all cases (β_{ave} , Exact = 2.83;
577 β_{ave} , RBDO = 2.84). The Best Selection Truck produces results nearly as good, with only a
578 slightly higher β_{max} and β_{ave} than the Exact result (β_{max} ; 3.96 vs 3.95 and β_{ave} ; 2.88 vs 2.83).
579 More notably, the COV of reliability indices for all bridge cases is identical (to 2 decimal
580 places) among the Exact, RBDO, and Best Selection results, of 0.13. When the existing MDOT
581 trucks are considered (with the required load factor (LF) applied), it can be seen that the
582 maximum, average, as well as COV of reliability index are markedly greater than the ideal
583 solution. In comparison, as shown in Table 4, the AASHTO Trucks produced surprisingly good
584 results for moment effect overall, while although worse than the RBDO and Best Selection
585 solutions, results were relatively close, with the AASHTO model (once the required minimum
586 load factor of 1.93 was applied) producing β_{max} and β_{ave} only 5-7% higher than the ideal solution,
587 and COV increasing from 0.13 to 0.15. The relative accuracy of this model did not hold for
588 shear results, however, as discussed below. In comparison, the MDOT model (with required load

589 factors) produced a much worse solution, with β_{max} , β_{ave} , and COV significantly higher than the
590 alternative models.

591 Shear results are given in Figure 7 and Table 5. The same bridge that governs for
592 moment did so for shear as well (6 m, side by side box beam), with minimum required load
593 factors of 1.79, 1.40, and 2.40 for the Best Selection, MDOT, and AASHTO Trucks,
594 respectively. In the figure, some interesting results are shown, where although for moment, the
595 most conservatively rated span for the AASHTO and MDOT models is 18 m (prestressed
596 concrete box beams with 3.6 m girder spacing) and a 24 m span of the same bridge type for the
597 Best Selection truck, for shear, the most conservatively rated span is 30 m for all models.
598 Moreover, discrepancies with the MDOT model decreased, where the maximum load ratio
599 (VLE_f/RLE) dropped from about 1.85 for moment to 1.56 for shear, but discrepancies for the
600 AASHTO model increased, with maximum load ratios changing from about 1.20 to 1.35.
601 Similarly, results for the Best Selection Truck worsened (where the maximum load ratio
602 increased from about 1.03 to 1.10), whereas the RBDO solution for shear produced nearly the
603 same accuracy as for moment, with discrepancies within 1%. Note that although the Best
604 Selection result worsened for shear, it remains a substantially better solution compared to the
605 AASHTO and MDOT shear models.

606 As shown in Table 5, the range of shear reliability index for the exact solution has
607 increased somewhat from that of moment, with β_{max} and β_{ave} increasing from 3.95 to 4.20 and
608 2.83 to 2.90, respectively. The variance of all results has decreased, however, from 0.13 to 0.10,
609 with both the RBDO and Best Selection models producing nearly identical solutions, although a
610 slight increase in occurs β_{ave} with the Best Selection Truck, from 2.88 for moment to 3.00 for
611 shear. As with moment results, COV for shear results for the Best Selection Truck (0.10)

612 matched that of the RBDO and exact solutions. For shear, the AASHTO model considerably
613 worsened when compared to moment results, producing a substantially higher β_{max} , β_{ave} , as well
614 as COV as compared to the exact solution, with values of 4.97, 3.33, and 0.14, respectively. In
615 this case, AASHTO results are similar to those found from the MDOT model, which again
616 produced worst results overall.

617 It should be noted that the reliability index and RLE results are not based on nor are
618 significantly impacted by any single maximum WIM data vehicle load effect. In fact, removing
619 any single, or numerous single vehicles, including the best selection vehicle, from the WIM data
620 will have no practical impact on the computed live load random variable (*LL*) parameters shown
621 in Table 2. Rather, these values are based on a load projection using hundreds to thousands of
622 vehicle load effects, the governing of which are from multiple vehicles together (in following
623 and side-by-side configurations; see Eamon and Siavashi 2018 and Eamon et al. 2016). That is,
624 the Best Selection vehicle does not represent a governing, nor even typical, load effect. Rather,
625 its configuration best-replicates the pattern of projected load effects across the different spans
626 considered.

627 Although results were shown for the specific traffic data described above (i.e. Michigan
628 legal and routine permit vehicles), to verify the applicability of the Best Selection method, this
629 approach and the RBDO procedure were repeated on a set of 78 million vehicles collected from
630 Michigan that meet the Federal Bridge Formula (FHWA 2015). Significantly more restrictive
631 than the originally considered Michigan database of legal and extended permit vehicles, this new
632 set of vehicles would meet the legal requirements common to many states. Application of Eq. 7
633 (with $k = 0.10$) reduced this set of vehicles to approximately 740,000 for further consideration.

634 Comparing the results of both vehicle databases, nearly identical results were found using the
635 Best Selection approach in terms of closeness to the ideal RBDO solution.

636 Although this Best Selection approach was found to be effective, several limitations
637 should be noted. First, as potential solutions are found within the collected vehicle database, a
638 reasonably large pool of vehicles must be available. Although 2.2 million vehicles were used in
639 this study (i.e. after the application of Eq. 7) , it was found that nearly as good results (with a
640 difference of a few percent) could be obtained using only approximately 1/6th of this vehicle
641 pool, or about 350,000 vehicles. However, as the size of the database decreases,
642 correspondingly worse solutions will result. Second, the data set used in the Best Selection
643 process should be representative of the entire pool for which the load model is to be developed.
644 That is, conducting the best selection on data from a single WIM site rather than a series of sites
645 throughout the state may be problematic, as results may be locally biased, potentially missing the
646 most effective solutions. Third, there is inherent uncertainty as to how close the Best Selection
647 result will be to the ideal solution. Fortunately, error is readily quantifiable by comparing results
648 to the required load effects (RLE); unacceptably large errors may indicate the need to implement
649 the more costly RBDO method.

650 Finally, further note that the RLE values can be readily determined using the relatively
651 simple reliability analysis described in the corresponding section above. Direct use of the RLE
652 would not only allow for an exact reliability-based rating assessment for each structure, but
653 would avoid any additional computational effort associated with further load model
654 development. Although theoretically ideal, this approach may be problematic in practice. In
655 particular, existing rating and posting procedures used by most state DOTs are based on a
656 framework that uses representative vehicles. This includes the use of specialized rating software

657 that requires vehicle configurations as inputs, the desire for compatibility with the vehicle-based
658 format of existing rating standards, as well as the desire to minimize the need to use different
659 loads, vehicles, and/or factors for different spans and bridge types. Thus, the direct use of RLE
660 values may be difficult to implement in current practice, and hence the alternative vehicle-based
661 alternatives considered here, which were recently proposed to MDOT and are currently under
662 consideration.

663

664 **Summary and Conclusion**

665 The potential effectiveness of using RBDO and an alternative method to develop a
666 reliability-based load rating model considering state-specific traffic was studied.

667 It was found that the RBDO procedure could develop a load model more effective than
668 the existing rating models suggested by AASHTO as well as the significantly more complex,
669 state-specific DOT model. In particular, a modest improvement was achieved over the
670 AASHTO model for moment effects, while a significant improvement was made for shear, as
671 well as a significant improvement for both moment and shear effects from the DOT model.
672 However, for the RBDO process to be feasible, it was found that reduction of computational
673 effort as much as possible was essential. This was effectively done using a slightly modified,
674 non-iterative reliability approach to allow use of a single-loop RBDO procedure. The RBDO
675 solution produced final results nearly identical to a theoretically ideal solution.

676 In comparison, a Best Selection Approach was studied, where it was proposed to select a
677 vehicle directly from the WIM data that minimizes discrepancies in load effects. It was found
678 that this method produced nearly identical results as the RBDO solution for moment rating and
679 only slightly worse results for shear rating. It was further found that more complicated rating

680 models are not necessarily most effective. The most simple vehicle model studied, that
681 developed from the Best Selection Approach, uses only a single rating vehicle for moment
682 effects and another vehicle for shear effects, while it produced significantly more consistent
683 results overall when compared to the multiple-vehicle AASHTO and MDOT alternative models.

684 Given that the Best Selection Approach represents a large reduction in problem
685 complexity and computational cost as the RBDO solution, as well as provides a realistic (actual)
686 load rating vehicle, it is recommended for future consideration for state-specific load rating
687 model development.

688

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Table 1. Michigan Legal and Routine Permit Vehicle Filtering Criteria.

Vehicle Type	Criteria
Legal, GVW > 356 kN	For axles spaced ≥ 2.75 m, axles ≤ 80 kN For axles spaced from 1 – 2.7 m, axles ≤ 58 kN For axles spaced < 1 m, axles ≤ 40 kN $2 \leq$ Number of axles ≤ 11 Vehicle Length ≤ 29 m
Legal, GVW < 356 kN	Any individual axle ≤ 89 kN Sum of tandem axles ≤ 151 kN $2 \leq$ Number of axles ≤ 11 Vehicle Length ≤ 29 m
Permit (Construction)*	Length ≤ 26 m Any axle ≤ 107 kN GVW ≤ 667 kN $2 \leq$ Number of axles ≤ 11 Vehicle Length ≤ 26 m

*Various types of permits exist, depending on vehicle use category and cargo type. Permits for construction vehicles are generally most permissive and govern load effects.

Table 2. Random Variables.

Random Variable		Bias Factor	COV
Resistance RVs	<i>R</i>	λ	
Prestressed Concrete, Moment		1.05	0.075
Prestressed Concrete, Shear		1.15	0.14
Reinforced Concrete, Moment		1.14	0.13
Reinforced Concrete, Shear ¹		1.20	0.155
Steel, Moment		1.12	0.10
Steel, Shear		1.14	0.105
Load RVs			
Vehicle Live Load, Moment	<i>LL</i>	1.07-2.08 ²	0.16-0.27 ³
Vehicle Live Load, Shear	<i>LL</i>	1.0-1.64 ²	0.16-0.30 ³
Live Load Impact Factor	<i>IM</i>	1.13;1.10 ⁴	0.09;0.055 ⁴
Vehicle Load Distribution Factor	<i>DF</i>	0.72-0.99	0.11-0.18
Dead Load, Prefabricated	<i>D_p</i>	1.03	0.08
Dead Load, Site-Cast	<i>D_s</i>	1.05	0.10
Dead Load, Wearing Surface	<i>D_w</i>	mean 89 mm	0.25

1. Assumes shear stirrups present.

2. Bias factor is given as the ratio of mean load effect to the nominal Michigan legal rating truck load effect; varies as a function of span.

3. Includes uncertainties from data projection, site, WIM data, impact factor, and load distribution; varies as a function of span.

4. Bias factor is given as a multiple of static LL, such that the total vehicular load effect is $LL \cdot \text{bias}_{IM}$. First values refer to single lane load effects; second values refer to two-lane load effects.

Table 3. Coefficients for Sum of Sines Model.

Load Effect	Parameter								
	a_1	b_1	c_1	a_2	b_2	c_2	a_3	b_3	c_3
Moment	8556	0.015	-0.621	4879	0.022	2.07	295	0.053	1.91
Shear	244	0.002	.021	113	0.002	6.30	4.59	0.062	-1.67

Table 4. Comparison of Moment Design Load Models.

Design Load	Load Factor	β_{\min}	β_{\max}	β_{average}	COV
Exact (using RLE)	-	2.50	3.95	2.83	0.13
RBDO Load Model	-	2.50	3.95	2.84	0.13
Best Selection Truck	2.02	2.50	3.96	2.88	0.13
MDOT Trucks (current LF)	varies ¹	2.13	5.52	3.74	0.20
MDOT Trucks (required LF)	1.35	2.50	5.74	4.09	0.18
AASHTO Trucks (current LF)	1.80	2.25	3.85	2.84	0.15
AASHTO Trucks (required LF)	1.93	2.50	4.14	3.05	0.15

1. See Figure 2 for load factors.

Table 5. Comparison of Shear Design Load Models.

Design Load	Load Factor	β_{\min}	β_{\max}	β_{average}	COV
Exact (using RLE)	-	2.50	4.20	2.90	0.10
RBDO Load Model	-	2.50	4.25	2.91	0.10
Best Selection Truck	1.79	2.50	4.20	3.00	0.10
MDOT Trucks (current LF)	varies ¹	2.10	4.67	3.22	0.14
MDOT Trucks (required LF)	1.40	2.50	5.05	3.55	0.14
AASHTO Legal Trucks (current LF)	1.80	1.70	3.85	2.67	0.13
AASHTO Legal Trucks (required LF)	2.40	2.50	4.97	3.33	0.14

1. See Figure 2 for load factors.

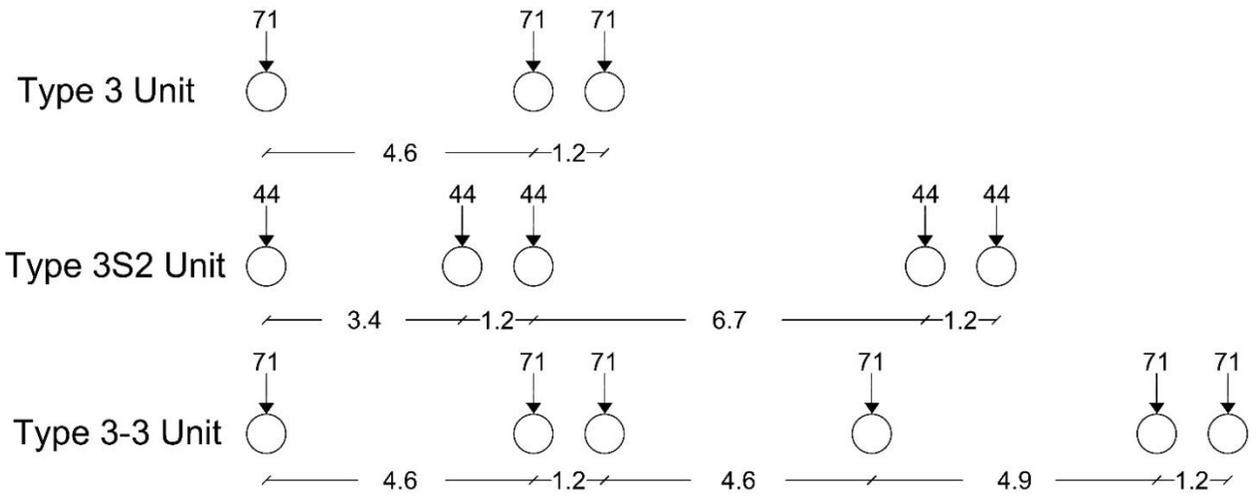


Figure 1. AASHTO Rating Trucks (kN, m).

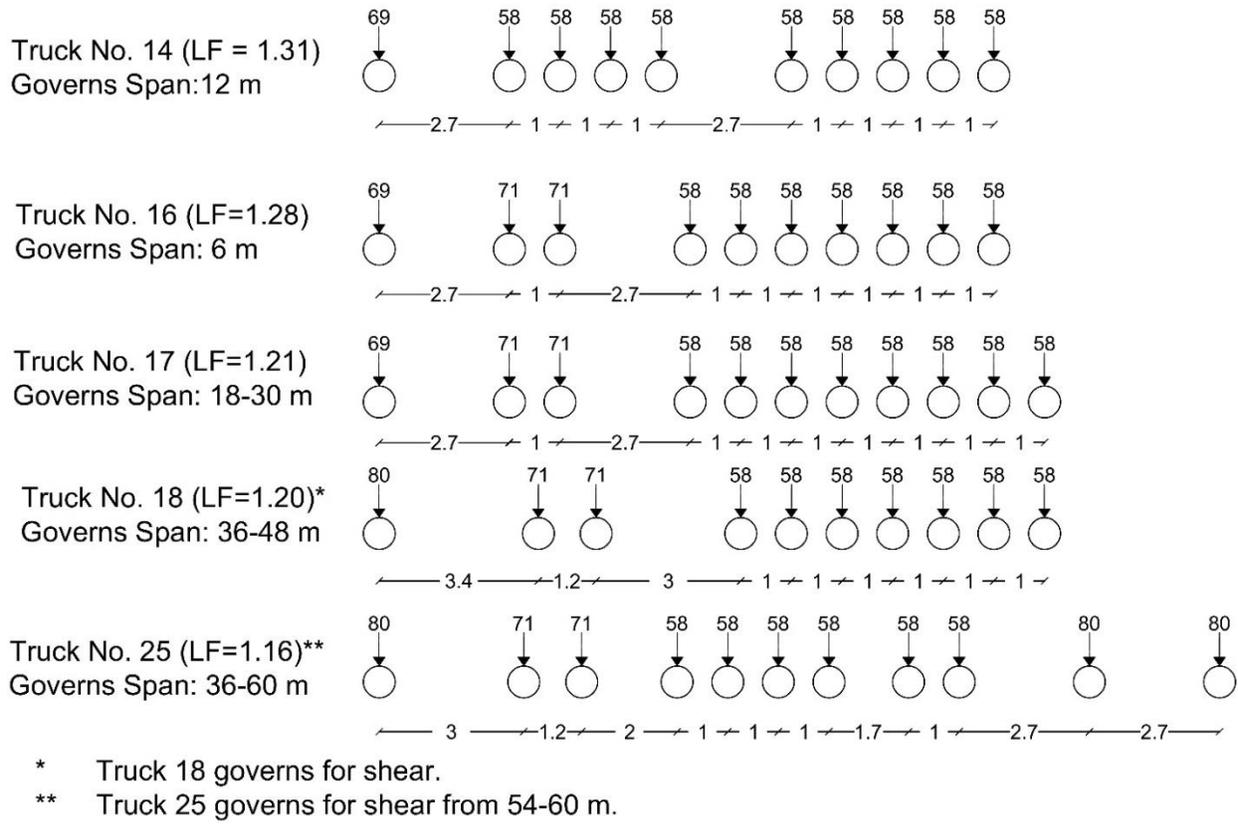


Figure 2. Governing MDOT Rating Trucks (kN, m).

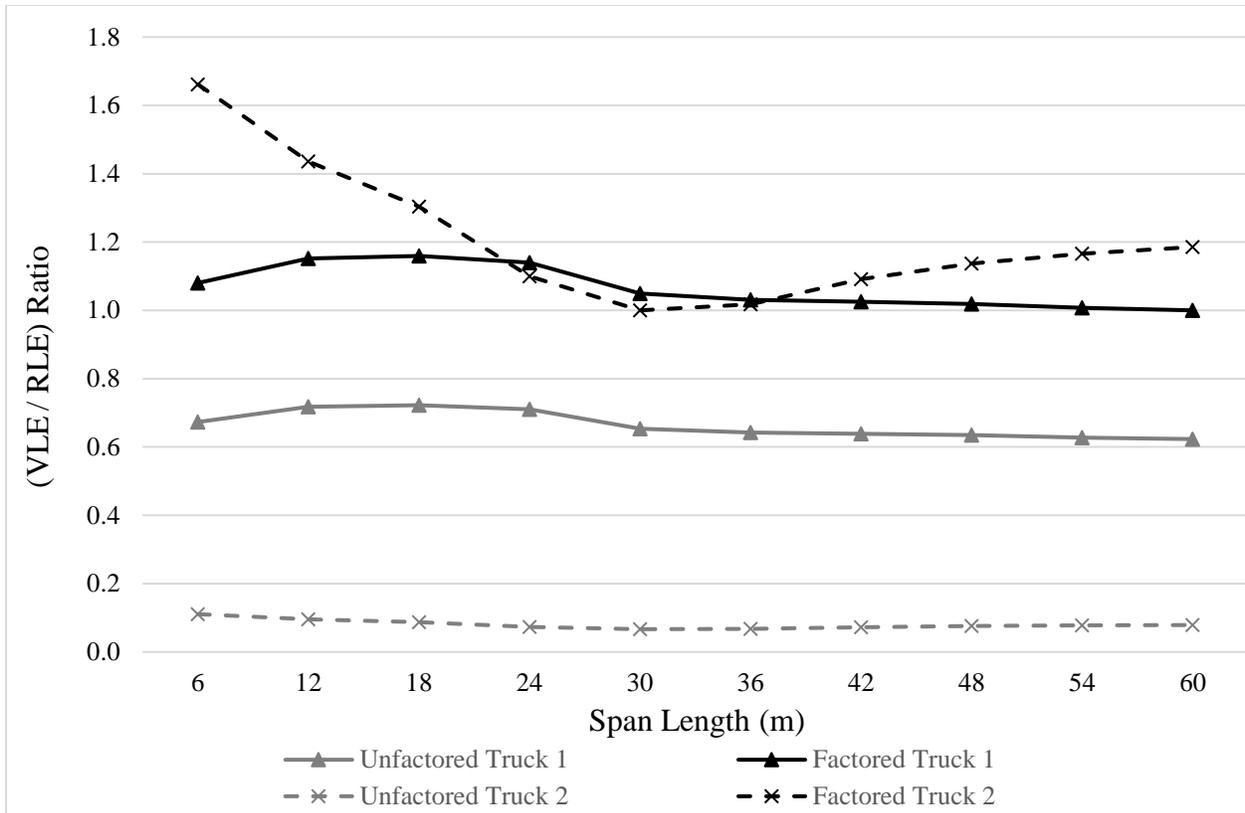


Figure 3. Example Comparison of Load Effect Ratios Using Best Selection Method.

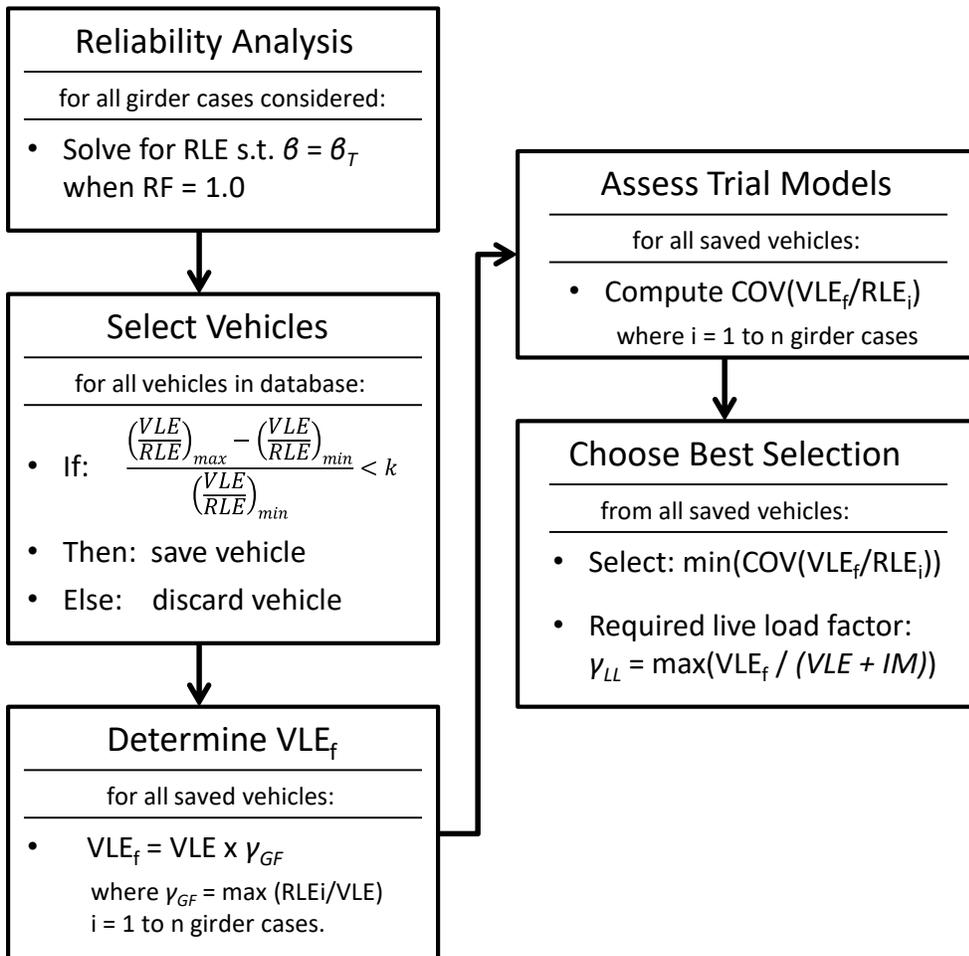


Figure 4. Best Selection Method Flowchart.

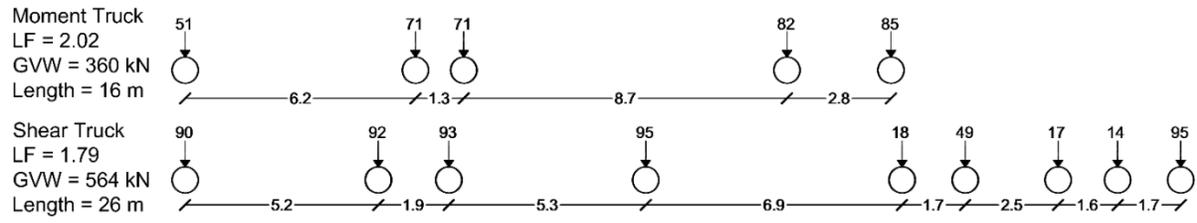


Figure 5. Best Selection Approach Trucks (kN, m).

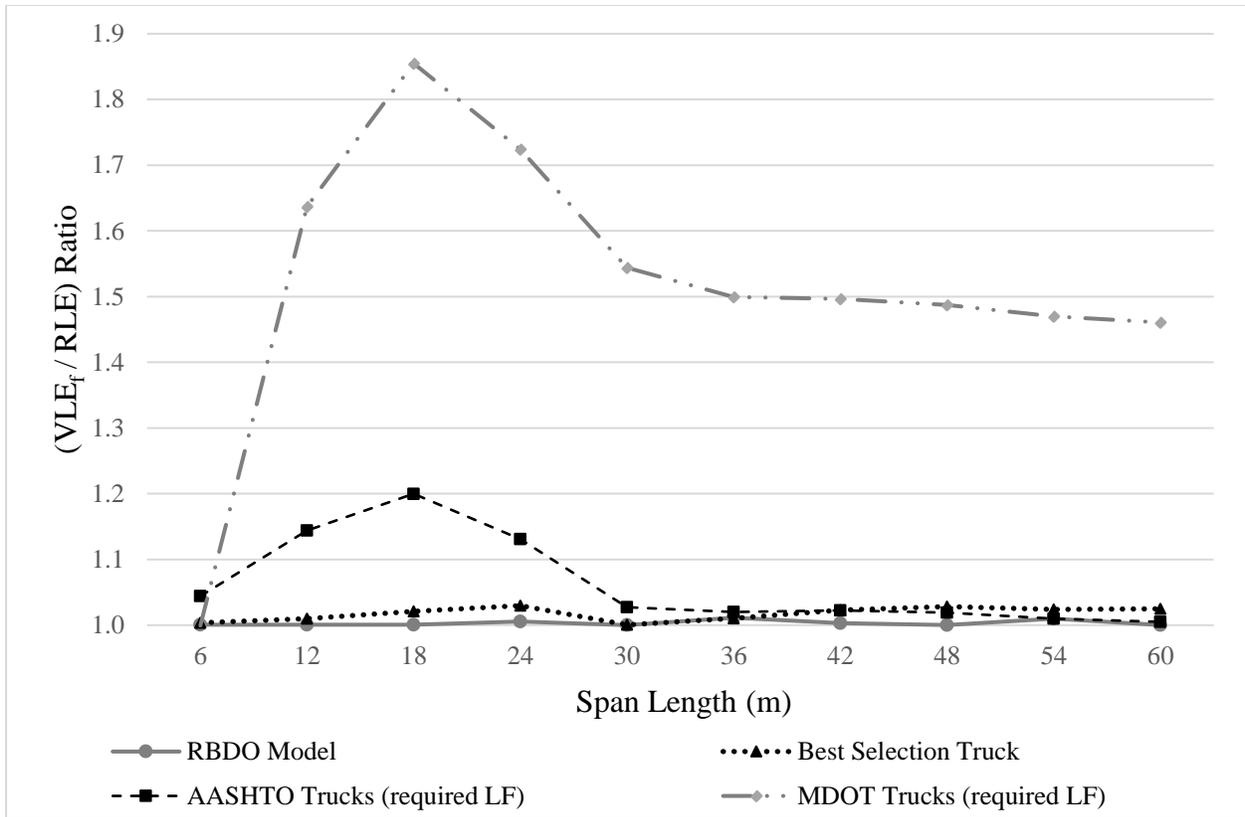


Figure 6. Vehicle to Required Load Effect Ratios for Moment.

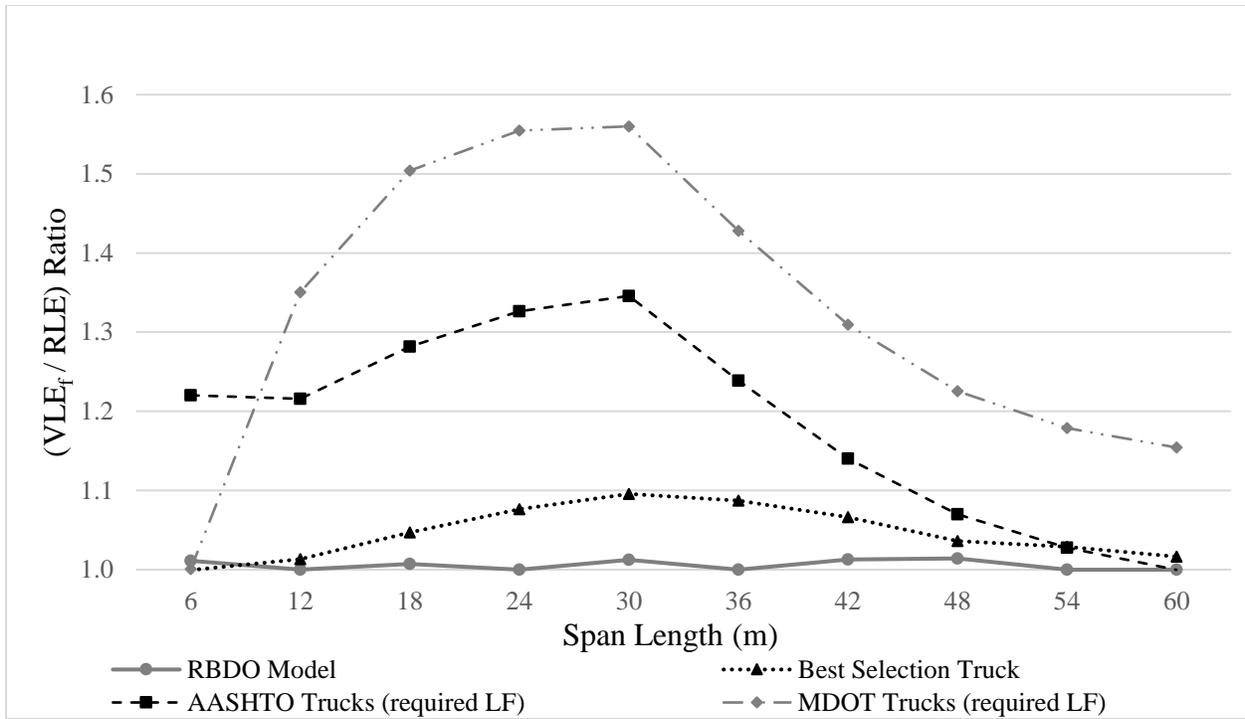


Figure 7. Vehicle to Required Load Effect Ratios for Shear.